

07/20/99  
1c674 U.S. PTO

ATTORNEY'S DOCKET NO.  
065446.0114

PATENT APPLICATION

1

APPLICATION FOR U.S. PATENT UNDER 37 C.F.R. § 1.53(b)  
TRANSMITTAL FORM

Box Patent Application  
ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application  
of:

Inventor or Application Identifier:

Wayne E. Steeves  
7213 Springfield Drive  
Plano, Collin County, Texas 75025

Entitled: RADIO FREQUENCY IDENTIFICATION SYSTEM AND METHOD

Enclosed are:

☒ Specification (62 Total Pages)  
☒ Drawing(s) (9 Total Sheet(s) of ☒ Formal ☐ Informal)  
☒ Combined Declaration and Power of Attorney - (3 Total Pages)  
☒ Newly executed  
☐ Copy from a prior application  
(for continuation/divisional only)

☒ An Assignment of the invention to Axxcess Inc. is attached.  
☒ Newly executed (original or copy)  
A separate cover sheet in compliance with 37 C.F.R. § 3.28  
and § 3.31 is included with an Assignment recordal fee of  
\$40.00 pursuant to 37 C.F.R. § 1.21(h).

☐ Information Disclosure Statement (IDS) PTO-1449  
☐ Copies of IDS Citations.

☒ Certificate of Mailing

☒ Return Receipt Postcard

☐ Other \_\_\_\_\_

Applicant is:

☐ Large Entity  
☒ Small Entity  
☒ Small Entity Statement enclosed  
☐ Small Entity Statement filed in prior application.  
Status still proper and desired.

1c515 U.S. PTO  
09/357435  
07/20/99

ATTORNEY'S DOCKET NO.  
065446.0114

PATENT APPLICATION

2

The accompanying application is:


X Original

\_\_\_\_ Continuation \_\_\_\_ Divisional \_\_\_\_ Continuation-In-Part (CIP)  
of pending U.S. Application Serial No. \_\_\_\_\_ filed on  
\_\_\_\_\_.

FEE CALCULATION					FEE
	Number		Number Extra	Rate	Basic Fee
					\$380.00
Total Claims:	38	-20 =	18	X \$9 =	\$162.00
Independent Claims	7	- 3 =	4	X \$39 =	\$156.00
TOTAL FILING FEE =					\$698.00

X A check in the amount of \$698.00 is attached to cover the cost of the filing fee. The Commissioner is hereby authorized to charge any additional fee required or credit any overpayment to Deposit Account No. 02-0384 of Baker & Botts, L.L.P. **A duplicate copy of this sheet is enclosed.**

Respectfully submitted,  
BAKER & BOTTS, L.L.P.  
Attorneys for Applicant

  
Terry J. Stalford  
Reg. No. 39,522  
July 20, 1999

Correspondence Address:  
BAKER & BOTTS, L.L.P.  
2001 Ross Avenue  
Dallas, Texas 75201-2980  
(214) 953-6477

Applicant or Patentee: Wayne E. Steeves

Serial or Patent No.

Attorney's Docket:

065446.0114

Filed or Issued: July 20, 1999

Title: RADIO FREQUENCY IDENTIFICATION SYSTEM AND METHOD

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) & 1.27(c)) -- SMALL BUSINESS CONCERN**

I hereby declare that I am an official of the small business concern empowered to act on behalf of the concern identified below:

Name of Small Business Concern: AXCESS INC.  
Address of Small Business Concern: 3208 Commander Drive  
Carrollton, Texas 75006

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled RADIO FREQUENCY IDENTIFICATION SYSTEM AND METHOD, described in the specification filed herewith.

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights in the invention is listed below, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e):

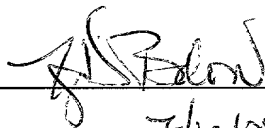
None

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or my maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name of Person Signing: Harry Budow  
Title of Person if other than owner: President  
Address of Person Signing: 3208 Commander Drive  
Carrollton, Texas 75006

Signature: \_\_\_\_\_



Date: \_\_\_\_\_

7/12/99

**RADIO FREQUENCY IDENTIFICATION  
SYSTEM AND METHOD**

CROSS REFERENCE TO RELATED APPLICATIONS

This application is related to the following applications filed on the same date as the present application by the present inventor and assigned to Axxcess, Inc., the assignee hereunder:

1) Dual Frequency Radio Tag for a Radio Frequency Identification System, Serial No. \_\_\_\_\_, Filed July 20, 1999; and

2) Method and System for Networking Radio Tags in a Radio Frequency Identification System, Serial No. \_\_\_\_\_, Filed July 20, 1999.

TECHNICAL FIELD OF THE INVENTION

This invention relates generally to asset tracking and control and more particularly to a radio frequency identification (RFID) system and method.

**RADIO FREQUENCY IDENTIFICATION  
SYSTEM AND METHOD**

CROSS REFERENCE TO RELATED APPLICATIONS

This application is related to the following applications filed on the same date as the present application by the present inventor and assigned to Axxess, Inc., the assignee hereunder:

1) Dual Frequency Radio Tag for a Radio Frequency Identification System, Serial No. \_\_\_\_\_, Filed July 20, 1999; *Amel*

2) Method and System for Networking Radio Tags in a Radio Frequency Identification System, Serial No. \_\_\_\_\_, Filed July 20, 1999.

TECHNICAL FIELD OF THE INVENTION

This invention relates generally to asset tracking and control and more particularly to a radio frequency identification (RFID) system and method.

BACKGROUND OF THE INVENTION

The management and tracking of personnel, assets, and other objects is required in a wide variety of environments and is often cumbersome, labor intensive, and expensive. Radio receivers and transmitters have been used for many years to identify personnel and objects in such environments. For example, many systems are known for attaching radio tags to items, such as automobiles, so that when automobiles equipped with radio tags enter a certain area, such as a toll booth area, the automobiles are automatically identified and the appropriate tolls are deducted from corresponding accounts, thereby obviating the need for drivers to stop and make payment at toll booths. Innumerable other applications for such radio tag systems have been identified, in areas ranging from inventory control to facility security to sporting event timing.

Radio frequency identification (RFID) systems generally use a fixed position base station capable of reading portable tags attached to personnel, assets, or other objects. Typical base stations include an antenna, a reader, and a computer. If an RFID system covers a large enough area, multiple base stations may be necessary to provide adequate coverage for the large area.

In addition, bandwidth use is high because the base station computer communicates with and processes information from every radio tag within its operational range. The lack of available bandwidth limits multi-read capabilities of the system which allows a base station to interact with more than one radio tag at any particular time.

SUMMARY OF THE INVENTION

5 In accordance with the present invention, an improved radio frequency identification system and method are provided which substantially eliminate or reduce disadvantages and problems associated with previous systems and methods.

10 According to one embodiment of the present invention, a method and system for monitoring assets using radio frequency tags includes a plurality of primary tags. Each primary tag stores an identification of each linked tag associated with a primary tag. The primary tag receives a polling event and transmits a query message for linked tags within an operational range of the primary tag. Each linked tag within the operational range that receives the query message transmits a response message that includes the identification of the linked tag. The primary tag receives the response messages and compares the identifications in the response messages to the identifications stored for each linked tag.

20 More specifically, in accordance with a particular embodiment of the present invention, the primary tag determines a monitored asset status based on the comparison of the identifications in the response messages to the identifications stored for each linked tag. The primary tag generates an alarm condition if the monitor asset status indicates that any linked tags are missing from the operational range of the primary tag. In other embodiments, the primary tag may generate an alarm condition if the monitor asset status indicates that no linked tags responded to the query message or that non linked tags not associated with the primary tag are present in the operational range of the primary tag. In still other embodiments, the ratio of primary tags to link tags may be one primary tag to many linked tags, many primary

tags to one linked tag, or many primary tags to many linked tags.

Technical advantages of the present invention include providing an improved radio frequency identification system. In particular, a primary tag is responsible for a number of secondary tags representing particular items or subsystems. The primary tag queries the secondary tags, processes the responses to determine a status of the system and reports results to a shared base system. This distributed processing reduces bandwidth utilization and allows assets to be more closely monitored.

Further technical advantages of the present invention include providing an asset control system. In particular, radio tags are associated with each other to prevent or to restrict movement or removal of a primary tag without an associated secondary tag or tags. The asset control system may be employed in a secure facility to prevent the removal of an item except by approved personnel, in a shipping facility to prevent the shipment of mismatched goods, and in any other suitable facility

Other technical advantages will be readily apparent to one skilled in the art from the following figures, descriptions, and claims.



BRIEF DESCRIPTION OF THE DRAWINGS

For a more complete understanding of the present invention and the advantages thereof, reference is now made to the following description taken in conjunction with the accompanying drawings, wherein like reference numbers represent like parts, and in which:

FIGURE 1 is a block diagram illustrating a radio frequency identification (RFID) system in accordance with one embodiment of the present invention;

FIGURE 2 is a schematic block diagram illustrating a multi-frequency wakeup radio tag for use in the RFID system of FIGURE 1 in accordance with one embodiment of the present invention;

FIGURE 3 is a schematic block diagram illustrating a high sensitivity receiver for the radio tag of FIGURE 2 in accordance with one embodiment of the present invention;

FIGURE 4 is a block diagram illustrating a low power receiver for the radio tag of FIGURE 2 in accordance with one embodiment of the present invention;

FIGURE 5 is a timing diagram illustrating first and second stage quench frequency oscillator outputs for the low power receiver of FIGURE 4 in accordance with one embodiment of the present invention;

FIGURE 6 is a flow diagram illustrating the operation of the low power receiver of FIGURE 4 in low and full power modes;

FIGURE 7 is a block diagram illustrating an asset tracking system using the radio tag of FIGURE 2 to form a tag network in accordance with one embodiment of the present invention;

FIGURE 8 is a flow diagram illustrating the operation of a radio tag in the asset tracking system of FIGURE 7 in accordance with one embodiment of the present invention;

FIGURE 9 is a block diagram illustrating a retail facility using a distributed asset control system in accordance with one embodiment of the present invention;

FIGURE 10 is a flow diagram illustrating operation of the distributed asset control system in the retail facility of FIGURE 9 in accordance with one embodiment of the present invention;

FIGURE 11 is a block diagram illustrating a secure facility using a distributed asset control system in accordance with another embodiment of the present invention;

FIGURE 12 is a flow diagram illustrating operation of the distributed asset control system in the secure facility of FIGURE 11 in accordance with one embodiment of the present invention; and

FIGURE 13 is a block diagram illustrating a shipping facility using a distributed asset control system in accordance with still another embodiment of the present invention.

DETAILED DESCRIPTION OF THE INVENTION

FIGURE 1 illustrates a radio frequency identification (RFID) system 10 in accordance with one embodiment of the present invention. The RFID system 10 is a system used to track and identify objects or persons by attaching a transponder, or radio tag, to each object or person being tracked. The RFID system 10 communicates at one or more wireless frequencies including low frequencies (LF), very low frequencies (VLF), very high frequencies (VHF), ultra-high frequencies (UHF), microwaves, or other suitable frequencies.

Referring to FIGURE 1, the RFID system 10 includes a base station 11 that resides at a fixed location and communicates with one or more radio tags 20 by an analog signal at a specified radio frequency. Radio tag 20 is a remote, portable, self-contained device that may be affixed to a moveable item, such as a person, inventory, or a vehicle.

The base station 11 is a conventional unit and includes a reader 12, a control system 14, and a base station antenna 16. Control system 14 may be implemented as a mainframe or other stand alone computer, server, personal computer, or any other type of computing device capable of controlling operation of the base station 11. Base station antenna 16 transmits and receives signals on various radio frequencies as necessary to provide communications between base station 11 and radio tags 20. Reader 12 acquires incoming signals from base station antenna 16 and demodulates the incoming signal for processing by control system 14. Reader 12 also modulates signals generated by control system 14 onto a carrier wave and transmits the modulated signal through base station antenna 16 as an analog communicated signal.

Signals are used within RFID system 10 to transmit messages between base station 11 and radio tags 20. Communicated signals destined for a specific radio tag 20 or the base station 11 are referred to as explicit communications since a specific destination device is referenced in the message. Other messages may be between base station 11 and all radio tags within RFID system 10. These communications are referred to as non-explicit, or global, communications since the message is not directed at a specific radio tag and requires a response or other suitable action from each radio tag receiving the message.

In one embodiment, analog communicated signals contain a message that requests radio tag 20 to perform some action such as responding to a query, forwarding a message, or initiating a query of surrounding radio tags 20. The analog communicated signals also contain a wakeup signature that precedes each message and informs radio tag 20 to prepare to receive an incoming message.

In accordance with one aspect of the present invention, each radio tag 20 includes logic and circuitry for retransmission of signals received from base station 11 and other radio tags 20. As used herein, the term each means every one of at least a subset of the identified items. Each radio tag 20 has an associated operational range that defines the area in which signals transmitted by radio tag 20 may be received by other devices such as radio tag 20 and base station 11. If radio tag 20 receives an explicit communication from base station 11 that identifies that radio tag 20 as the destination, radio tag 20 will process the message and transmit a response to base station 11. If another radio tag 20 is the destination for the explicit communication, the receiving radio tag 20 will retransmit the received message for reception by the destination radio tag 20.

If radio tag 20 receives a non-explicit communication from base station 11, radio tag 20 will process the received message, transmit a response to base station 11, and retransmit the message to other radio tags 20 within the operational range of radio tag 20.

The present invention uses slot allocation as taught by U. S. Patent Application Serial No. 08/789,148 entitled *Radio Tag System and Method with Improved Tag Interference Avoidance*, incorporated herein by reference, to facilitate the transmission and retransmission of messages within RFID system 10.

In accordance with the retransmission capability of radio tag 20, the one or more radio tags 20 form a tag network 22 in which a radio tag 20 will retransmit a received message which is destined for another address. Each device, such as a radio tag 20 or base station 11, has a unique address within the RFID system 10. The destination address could be another radio tag 20 or base station 11. Since radio tags 20 are operable to retransmit received signals, radio tags 20 outside the operational range of base station 11 may communicate with base station 11 through other radio tags 20. The retransmission capability of radio tags 20 increases the operational range of both base station 11 and radio tags 20 so that additional base stations are not necessary to provide adequate coverage for RFID system 10. As a result, some radio tags 20 in RFID system 10 may exist outside the operational range of base station 11 and still function as part of RFID system 10. Radio tags 20 outside the operational range of the base station 11 transmit response messages to the base station 11 through other radio tags 20 closer in proximity to the base station 11.

In a particular embodiment, radio tags 20 are active radio tags that contain a local power source. As described

in more detail below in connection with FIGURE 2, active radio tags 20 may have internal processing capability to determine an appropriate response to an incoming message. Radio tags 20 may then transmit the response at a high power thereby increasing the operational range of the radio tag as compared to passive radio tags which do not contain a local power source.

Passive radio tags utilize the energy from an incoming message to modulate a fixed response onto the incoming signal and forward that signal with the modulated response back to the sending device. The operational range of passive tags is necessarily limited due to the lack of a local power source.

In accordance with another embodiment of the present invention, radio tag 20 may be a primary tag 20 assigned responsibility for one or more secondary tags 22 and 24. In accordance with this aspect of the invention, the primary tag 20 polls the secondary tags 22 and 24 to determine a status of monitored assets and reports the status or irregularities to the base station 11. Polling may be performed periodically on a timer basis or in response to a request from the base station 11 or other suitable device. In a particular embodiment, the primary radio tag 20 may be an active radio tag as previously described and the secondary tag 22 may be a passive tag.

Primary tags 20 may perform distributed processing. The distributed processing capability of the present invention reduces processing responsibility of base station 11 and increases available bandwidth in the RFID system 10 since fewer messages are transmitted, received, and processed by the base station 11.

FIGURE 2 illustrates a radio tag 29 for use in the RFID system 10 of FIGURE 1 in accordance with one embodiment of the present invention. In this embodiment,

the radio tag 29 is a dual frequency radio tag that can be employed in a tag network 22 or as a primary tag. Although radio tag 29 will be described as a dual frequency radio tag, radio tag 29 may be generally described as a multiple frequency radio tag incorporating transmit and receive capabilities for one or more frequencies. It will be understood that other suitable radio tags capable of communication with other radio tags may be used in the RFID system 10.

The dual frequency radio tag 29 allows the radio tag 29 to communicate with the base unit at a first frequency and other tags at a second frequency. The use of dual frequencies allows the RFID system 10 to utilize a shorter range, more controllable frequency for communications between base station 11 and a set of radio tags 20 within its operational range. Since radio tags 20 should minimize power consumption, the second frequency provides broader coverage at low power levels as compared to the first frequency. The use of dual frequencies also allows radio tag 20 to determine whether a received signal came from base station 11 or other radio tags 20 without additional processing. Dual frequency as used herein refers to two or more frequencies utilized by radio tag 29. Thus, radio tag 29 may transmit signals on one or more frequencies and received signals on at least two frequencies.

The frequency spectrum includes three general ranges of frequencies suitable for RFID system applications. These ranges include kilohertz frequencies on the low end of the spectrum up to gigahertz frequencies at the high end of the spectrum. At the low end of the spectrum are very low frequencies (VLF) and low frequencies (LF). The VLF/LF frequencies have limited range, but signals transmitted on these frequencies are very controllable. Thus, they are particularly useful for applications requiring controlled

transmission of signals to a specific geographic area. An example of an application for VLF/LF frequencies are providing wakeup signals to radio tags as they enter a specific area. The VLF/LF frequencies are generally not  
5 suitable for transmitting signals back to the base station since radio tags have insufficient power to overcome noise and other interference present in these frequency ranges.

The middle of the frequency spectrum includes very high frequencies (VHF) and ultra-high frequencies (UHF).  
10 These frequencies are characterized by low noise and reliable transmission. However, VHF/UHF frequencies cannot easily be directionally controlled. In addition, it is difficult to control range. Thus, these frequencies transmit in all directions. VHF/UHF frequencies are best  
15 suited for radio tag responses since the orientation between the base station 11 and radio tag 20 is irrelevant and signals may be transmitted at very low power since there is low noise present in these frequency ranges.

At the upper end of the frequency spectrum are  
20 microwaves. These frequencies can be made extremely directional and are very sensitive to environmental interference. Microwaves generally require a direct line of sight between transmitter and receiver. In general, microwave frequencies have limited application due to their  
25 extreme sensitivity to environmental interference. A specific application for microwave frequencies is an RFID system for a toll booth. Since microwaves are directional and can be focused, the base station 11 can transmit signals to a specific area where a vehicle, and thus its  
30 radio tags 20, will enter as it proceeds through the toll booth.

Referring to FIGURE 2, dual frequency radio tag 29 includes an internal antenna system 38, a transmitter 32,



a first frequency receiver 34, a second frequency receiver 36, a processor 30, and a local power source 40.

Internal antenna system 38 allows dual frequency radio tag 29 to transmit and receive radio frequency signals at specified frequencies. In one embodiment, the internal antenna system 38 is a dual antenna assembly. In another embodiment the frequencies are closely spaced, internal antenna system 38 is implemented using a conventional antenna with conventional switching circuitry allowing use of internal antenna system 38 with either transmitter 32, first frequency receiver 34, or second frequency receiver 36.

First frequency receiver 34 and second frequency receiver 36 each include a tuner circuit to receive and filter the signals at a specified frequency. The tuned and filtered signal is then demodulated creating a digital signal suitable for processing by processor 30. Processor 30 may be any suitable general purpose processor, microprocessor, or microcontroller. Processor 30 includes a processor unit, memory, and storage.

Local power source 40 may be a battery, solar cell system, or other suitable portable power source. In an exemplary embodiment, local power source 40 is a three volt lithium coin cell battery. The characteristic of a battery or power source connected to electrical components is a leakage of a certain quantity of electrical current into the electrical circuit. To maintain an adequate lifespan of radio tag 29, the circuitry of radio tag 29 is preferably configured to operate substantially on leakage current of local power source 40. By operating radio tag 29 on leakage current only, radio tag 29 may obtain a long shelf life of approximately 10 years before replacement of the local power source 40 is necessary.

The first and second frequency receivers 34 and 36 provide the dual frequency capability of radio tag 29. In a particular embodiment, the first frequency receiver 34 is a VHF/UHF receiver operable to receive wakeup signals in the VHF/UHF frequency range, and the second frequency receiver 36 is a VLF/LF receiver operable to receive signals in the VLF/LF frequency range. In this embodiment, base station 11 transmits signals in the VLF/LF frequency range and receives signals in the VHF/UHF frequency range, and radio tags 29 receive signals from base station 11 in the VLF/LF frequency range, receive signals from other radio tags 29 in the VHF/UHF frequency range, and transmit signals in the VHF/UHF frequency range. As previously described, use by the base station 11 of the VLF/LF frequency range allows base station 11 to control the transmission of the signals. Use by the radio tags 29 of the VHF/UHF frequency range allows signals to be transmitted at low power thus maximizing tag life.

The use of dual frequencies also allows the radio tag 29 to identify the type of unit transmitting the signal. If radio tag 29 receives a signal in VLF/LF receiver 36, it knows that the message was transmitted by the base station 11. Conversely, if radio tag 29 receives a signal on VHF/UHF receiver 34, it knows that the message was transmitted or forwarded by another radio tag 29.

In an exemplary embodiment, a low frequency of 132 kHz is used for the VLF/LF frequency range. The VHF/UHF frequencies are chosen based on local governmental restrictions on the use of these frequencies. In the United States, the VHF/UHF frequency may be a UHF frequency of 315 MHz. For European countries, the VHF/UHF frequency may be a UHF frequency of 433 MHz.

Tag processor 30 receives a message, or communicated signal, and determines if a response is necessary. If tag

processor 30 determines that a response should be sent to base station 11 or another radio tag, transmitter 32 modulates the response signal from tag processor 30 onto an analog carrier wave and transmits the modulated signal via internal antenna 38.

Before tag processor 30 will process an incoming message, a wakeup signal signature may be required. This allows the tag processor 30 to remain in a low power, or sleep, state during periods of inactivity when the radio tag is outside the range of the base station 11 or is otherwise not communicating. The wakeup signal signature informs tag processor 30 that information requiring processing will arrive immediately following the wakeup signal signature.

Transmitter 32 modulates signals received from processor 30 onto a carrier wave for transmission via internal antenna 38. RFID system 10 preferably utilizes amplitude modulation to carry the communicated signal on the carrier wave. It will be understood that other suitable modulation schemes may be used. In the embodiment in which the tags communicate at a frequency within the VHF/UHF frequency range, the transmitter 32 is a VHF/UHF transmitter. As previously described, the VHF/UHF frequency range is characterized by low noise in the frequency spectrum. However, signals transmitted in this frequency range are not controllable. Thus, signals are dispersed in all directions. The low noise characteristic of VHF/UHF frequencies allows low powered signals to be transmitted. Since power consumption should be kept to a minimum so that radio tags in RFID system 10 obtain a maximum life expectancy, a low powered transmitter should be utilized. Although radio tags 29 transmit high powered signals in the VHF/UHF frequency range and can obtain an operational range up to 1,000 feet, a particular embodiment

limits transmitted signal power such that the operational range of radio tags 29 is limited to approximately 150 feet.

FIGURE 3 illustrates the second frequency receiver 36 of FIGURE 2 in accordance with one embodiment of the present invention. In this embodiment, second frequency receiver 36 is a low power high sensitivity VLF/LF receiver. Although this embodiment utilizes the VLF/LF frequency range, other suitable frequency ranges may be used.

Referring to FIGURE 3, second frequency receiver 36 includes a tuner circuit 42 that is tuned to the transmitting frequency of base station 11 and a demodulator 44. Tuner circuit 42 is coupled to internal antenna system 38 and receives a signal 48 therefrom.

Tuner circuit 42 eliminates extraneous signals which may cause false activations of radio tag 29 by filtering frequencies other than a target frequency. Tuner circuit 42 may include conventional tuner circuitry such as a high pass filter comprised of a capacitor in series with a resistor connected to a ground. Tuner circuit 42 effectively narrows the bandwidth of the signal 48 received and forwarded by internal antenna system 38. In an exemplary embodiment, tuner circuit 42 is set to 132 KHZ but may be set to any suitable frequency.

After tuner circuit 42 obtains and filters the received signal 48, the tuned and filtered signal is forwarded to demodulator 44. Demodulator 44 demodulates the received signal and extracts the communicated signal in the form of a square wave, or digital bit stream, for processing by processor 30. As described in more detail below, demodulator 44 has a high sensitivity so that it can detect and demodulate low powered signals. In one embodiment, the demodulator has a sensitivity of less than

10 millivolts. As a result, the radio tag 29 can detect and process weak signals at LF/VLF which may significantly increase the operational range of radio tag 29 to 150 feet and beyond.

5 After demodulator 44 extracts the communicated signal from the carrier wave, the communicated signal is forwarded to tag processor 30 for processing. As previously described, before processor 30 will process an incoming message, a wakeup signal may be required. The wakeup  
10 signal signature may also be used to eliminate false activations of radio tag 29. False activations may occur when utilizing a low threshold voltage level in demodulator 44. False activations of radio tag 29 are eliminated in part by tuner circuit 42 that only allows signals at a  
15 target frequency to pass into demodulator 44. By utilizing a wakeup signal signature, the remainder of potential false activations may be effectively eliminated or reduced while still providing a high sensitivity demodulator.

The demodulator 44 includes a comparator 52 and a  
20 threshold voltage generator 54. The threshold voltage generator 54 generates a threshold voltage signal that is used by the comparator 52 to demodulate an incoming signal and determine if a communicated signal is present. Comparator 52 should be in an active state at all times so  
25 that it is prepared to sample any signal that may be obtained by internal antenna 38. Since comparator 52 is always active, threshold voltage generator 54 is always active and both continuously draw current from local power source 40. The comparator provides high sensitivity at low  
30 power consumption levels and this increases the range of the radio tag 29 without limiting its effective life.

Comparator 52 has a first input 50, a second input 56, and an output 58. The first input 50 is coupled to the tuner circuit 42 after receives signal 48 has been tuned

and filtered to receive the tuned signal. The second input 56 is coupled to the threshold voltage generator 54 to receive the threshold voltage signal. The output 58 is coupled to tag processor 30.

5       The comparator 52 is connected to local power source 40 and preferably operates on leakage current of the local power source 40. In one embodiment, the link between local power source 40 and comparator 52 has a capacitor 68 for regulation of the electrical current supplied to  
10       comparator 52. This prevents fluctuations in local power source 40 current from adversely affecting operation of the comparator 52.

      In operation, comparator 52 demodulates the tuned signal by comparing it to the threshold voltage signal generated by threshold voltage generator 54. The digital  
15       output on output 58 is based on the results of the comparison. If the tuned signal exceeds the threshold voltage signal, comparator 52 generates a high output for the digital signal on output 58. If the tuned signal is  
20       less than threshold voltage signal 56, comparator 52 generates a low output on digital signal 58. A high output corresponds to an "on" bit, and a low output corresponds to an "off" bit. Tag processor 30 receives the digital signal on output 58 and determines an appropriate response to be  
25       transmitted to base station 11 or other radio tags.

      The propagation delay of comparator 52 determines the sampling rate of the incoming signal. The propagation delay of comparator 52 is dependent on the specific frequency which must be captured, demodulated, and  
30       processed. Generally, a propagation delay of seven to ten times less than the period of the carrier wave being processed is sufficient to fully capture the communicated signal. Thus, seven to ten samples would be taken of the incoming signal during each period. In the exemplary

embodiment where the carrier wave has a frequency of 132 KHz, the comparator 52 has a period of 7.6 microseconds and a propagation delay of 900 nanoseconds which yields approximately eight samples per cycle of the carrier wave.  
5 The tag processor 30 accumulates and interprets the samples.

Conventional comparators satisfy the low power requirements but are impractical for application to an RFID tag as the propagation delay at low overdrive signals (i.e. 10mv) is in excess of twelve microseconds. As described  
10 below, the comparator 52 may be implemented by fabricating the novel comparator.

In a preferred embodiment, comparator 52 may be implemented in an application specific integrated circuit (ASIC). In this embodiment, the comparator 52 may have a  
15 conventional design but be fabricated using complimentary metal oxide semiconductor (CMOS) techniques at a sub .35 micron process, such as Hewlett-Packard's MOSES process. Comparator 52 of this embodiment has a typical propagation  
20 delay of 900 nanoseconds and a maximum propagation delay of one microsecond at less than 10 millivolts overdrive using two to three microamps of current from a local power supply 40 (lithium coin cell battery) over an extended temperature range with CMOS compatible outputs on a .35 micron process.  
25 Comparator 52 is implemented using a low power, sub-micron CMOS process to properly balance the tradeoffs between power consumption and performance that currently available comparators inadequately address.

An exemplary embodiment utilizes a comparator with a  
30 propagation delay of 900 nanoseconds and a power consumption between two and three microamps. Since threshold voltage generator 54 draws approximately 997 nanoamps and comparator 52 draws approximately three microamps, the total power consumption of demodulator 44 is

less than four microamps which will yield an adequate shelf life and is less than the leakage current of local power source 40.

5 The threshold voltage generator 54 may be implemented as a voltage divider circuit. In this embodiment, the threshold voltage generator 54 has a first resistor 60, a second resistor 62 and a capacitor 66. A first resistor 60 is coupled to local power source 40. First resistor 60 should have a resistance high enough to minimize the  
10 leakage current flow while still providing sufficient leakage current to generate threshold voltage signal 56. If the resistance of first resistor 60 is too high, insufficient current will be available to generate threshold voltage signal 56. If the resistance of first  
15 resistor 60 is too low, excessive current will be drawn from local power source 40 and inadequate shelf life will result.

A second resistor 62 is placed in series with first resistor 60 and is connected to a ground 64. The  
20 resistance of second resistor 62 is chosen to generate the appropriate voltage for threshold voltage signal 56. By varying the resistance of second resistor 62, the sensitivity of demodulator 44 may be varied. An increase in the resistance of second resistor 62 results in lower  
25 sensitivity of demodulator 44.

Capacitor 66 is connected across second resistor 62 in order to insure that a constant voltage is provided on threshold voltage signal 56. Capacitor 66 insures that the  
30 sensitivity of comparator 52 is constant and does not fluctuate with any fluctuations in the current supplied by local power source 40.

In the exemplary VLF/LF embodiment, a three megaohm resistor is used as first resistor 60 and a 6.8 kilohm resistor is used as second resistor 62. The total current



drawn by threshold voltage generator 54 is  $I=E/R$ , where I is current in amps, E is energy in volts, and R is resistance in ohms. Utilizing a three volt local power source 40 and having a total resistance from the resistances of first resistor 60 and second resistor 62 of 3,006,800 ohms, the total current drawn by the threshold voltage generator 54 is  $3V/3,006,800 \text{ ohms} = 997 \text{ nanoamps}$ . Therefore, threshold voltage generator 54 draws less than 1 microamp from battery 44. The threshold voltage signal may be calculated as  $E(R_2)/(R_1+R_2)$ , which is  $3V(6800 \text{ ohms})/(3,006,800 \text{ ohms})$ , or 6.8 millivolts. Therefore, any received signal which exceeds 6.8 millivolts may be processed.

FIGURE 4 illustrates details of the first frequency receiver 34 in accordance with one embodiment of the present invention. In this embodiment, the first frequency receiver 34 is a low power super regenerative receiver. Other suitable receivers and receiver designs may be used. Power control functions of a superregenerative receiver permit some of the elements of VHF/UHF receiver 34 to be completely shut down to save tag power while operating in a quiescent state. The superregenerative receiver 34 includes the amplification stage 101, the local oscillator 102 operating at the certain frequency, quench frequency source 103 operating at a duty cycle of at least 10 times the data rate, and a detector stage 104. The design utilizes forward biasing as provided by the quench frequency such that power draw is limited to 50% of the normal 100% biasing techniques due to the 50% duty cycle of the quench. Amplifier power 101, is limited to less than leakage currents only (generally less than 500 nanoamps) when the quench frequency is shut down.

Additionally, the local oscillator (LO) 102 is controlled by the quench frequency such that it is turned

off prior to achieving stable oscillation. In this way, the RF is sampled as the LO 102 is able to achieve stable oscillation significantly faster in the presence of an RF signal than without an RF signal. The detector circuit simply filters out the quench and LO frequencies (low pass filters) leaving the pulse created by the increased size of the RF envelope with RF present.

Since the LO 102 is also turned on and off by the quench frequency, power can also be controlled in the same way as the front end amplifier 101 biasing described above. A Surface Acoustic Wave (SAW) Delay Line 108 (in a preferred embodiment Model No. SL1011 from RF Monolithics, and in alternate embodiments any of the SLXXXX series of devices or equivalents) provides stability to the LO frequency and inserts the proper timing for signal reception 100, amplification 101, and quench 103 sampling of the LO 102.

In the configuration illustrated in FIGURE 4, the quench frequency oscillator 103 actually includes two separate oscillators, first and second stage quench oscillators 105 and 106, that operate in one of two modes and that are referred to as quench oscillator full power mode and quench oscillator low power mode. In low power quiescent mode, the oscillator 103 outputs a quench signal 120 as in FIGURE 5. A duty cycle of 1 to 5% over a period of 10ms provides sufficient time for reception of a 20 to 30ms activation signal and reduces total circuit draw by as much as 99%. The high pulse consists of a 30 to 500khz 50% duty cycle trapezoidal pulse train for normal quenching of the local oscillator 102. When a signal is detected, microcontroller 107 turns off the low duty cycle such that the 50% 30 to 500khz normal quench frequency signal 130 is maintained for normal data retrieval.

The detector circuit 104 is a micropower diode/comparator arrangement, although other more efficient types of detectors can be implemented in alternate embodiments as long as the power requirement is 1 microamp or less to minimize the total circuit power requirement. In the detector circuit 104, low power consumption is achieved through use of conventional low power componentry, e.g., in a preferred embodiment a model MAX417 dual op-amp device from Maxim (not shown). In a preferred embodiment, detector circuit 104 operates as follows: The output of quench frequency oscillator 103, as integrated with the local oscillator 102, is first passed through a low pass filter (not shown) and applied to the first op-amp of the Maxim device to amplify the resulting signal sufficiently to be applied to the second op-amp of the Maxim device, which is configured as a comparator creating a data pulse from detector circuit 104 when triggered. This data pulse is then applied to microcontroller 107 to indicate that quench frequency oscillator 103 should be placed in full power mode by setting the second stage quench oscillator output to a stable high output state 140.

The quench frequency oscillator 103 comprises the first stage quench oscillator 105 and the separate, second stage quench oscillator 106. The first stage quench oscillator 105 is coupled to the local oscillator 102 and operable, when active, to activate the local oscillator 102. The second stage quench oscillator 106 is coupled to the first stage quench oscillator 105 and operable in a low power mode to periodically activate the first stage quench oscillator 105 in order to periodically activate the local oscillator 102 for the purpose of detecting the presence of a communicated signal and in a full power mode to continuously activate the first stage quench oscillator in order to continuously activate the local oscillator 102 for

the purpose of collecting the communicated signal. When continuously activated, the local oscillator 102 and first stage quench oscillator 105 may each have a fifty percent or other suitable duty cycle for full or desired sensitivity reception. The communicated signal is a signal communicated to the radio tag 29. The communicated signal may be an ultra high frequency (UHF) or other suitable signal. It will be understood that the quench oscillator 102 may instead be a dual quench oscillator and that the receiver may comprise other types of suitable receivers operating on a limited power supply, such as a coin cell battery, and may include other suitable circuits and components.

FIGURE 6 is a flow diagram illustrating operation of the superregenerative receiver 34 in accordance with one embodiment of the present invention. Referring to FIGURE 6, the method begins at state 113 in which the quench oscillator 103, and thus the receiver 34, is in the low power mode. The radio tag 29 is in sleep or stand-by mode. In the low power mode, the receiver 34 preferably uses only leakage current from a battery for the radio tag 29. For a radio tag 29 operating on a typical lithium coin cell battery, for example, the receiver 34 in the low power mode uses 500 nanoamps or less power. As a result, the receiver 34 need not use active current from the battery, and life of the battery and the radio tag 29 are extended.

In the low power mode, the second stage quench oscillator 106 has a low duty cycle that periodically generates a first stage activation, or sampling, signal to detect whether a signal is present. The duty cycle may be less than five percent and in the preferred embodiment is about one percent. The duty cycle should be sufficient to enable sampling of traffic being received such that the

presence of signals can be detected while minimizing power consumption.

In response to the periodic sampling signal, state 113 transitions to step 114 in which the first stage quench oscillator 105 is activated by the sampling signal. In a particular embodiment, the first stage quench oscillator 105 is active only in the presence of the sampling signal. Thus, the first stage quench oscillator 105 will activate based on the duty cycle of the second stage quench oscillator 106.

Proceeding to step 115, the first stage quench oscillator 105 generates a local oscillator activation signal. At step 116, the local oscillator 102 is activated in response to the activation signal from the first stage quench oscillator 105. In a particular embodiment, the local oscillator 102 is acting only in the presence of the local oscillator activation signal. Thus, in the low power mode, the local oscillator 102 will activate based on the duty cycle of the second stage quench oscillator 106.

Next, at step 117, the local oscillator 102 demodulates received traffic to generate a demodulated signal. The local oscillator 102 demodulates received traffic at a specified frequency. The communicated signals are traffic modulated at that specified frequency.

Proceeding to decisional step 118, the detector 104, in combination with the microcontroller 107, determines whether a communicated signal is present in the demodulated signal output by the local oscillator 102. If a communicated signal is not present, the radio tag 29 may return to stand-by, or sleep mode. Accordingly, the NO branch of decisional step 118 returns to the low power mode at state 113 in which the second stage quench oscillator 106 remains at the low duty cycle to minimize power consumption.

Returning to decisional step 118, if a communicated signal is present in the demodulated signal, the communicated signal needs to be collected and the YES branch of decisional step 118 leads to state 119. At state 5 119, the second stage quench oscillator 106, and thus the quench oscillator 103 and the receiver 34, transition to full power mode. In the full power mode, the second stage quench oscillator 106 has a full duty cycle to continuously activate the first stage quench oscillator 105. In 10 response, the first stage quench oscillator 105 continuously activates the local oscillator 102 for full sensitivity reception and the communicated signal is demodulated and collected. Accordingly, full power is used only when a communicated signal is present and needs to be 15 collected.

The receiver 34 remains at state 119 until the communicated signal has been fully received. After complete reception of the communicated signal, in response to a timeout or other suitable event, state 119 returns to 20 decisional step 119 in which it is determined if another communicated signal is present. If a communicated signal is present and being received, the receiver 34 is returned to state 119 and remains in full power mode at least until the communicated signal is fully collected. Following 25 collection of the communicated signal, and the absence of a further communicated signal, the NO branch of decisional step 118 returns to state 113 in which the second stage quench oscillator 106, and thus the receiver 34, are in the low power mode. Accordingly, the receiver 34 is maintained 30 in full power mode only as long as necessary to collect a communicated signal and, if desired, for a short period thereafter. In this way, by using a second mode of operation or a second quench oscillator, at a substantially lower frequency, substantial power savings are realized.

In the low power mode, sampling the radio frequency takes place at a duty cycle that is conducive to long battery life. Once a radio frequency input signal is detected, the higher frequency quench is turned on and full sensitivity is achieved. This could all be timed such that the full turn on of the unit is accomplished during transmission of a preamble from the transmitting device.

The microcontroller 107 provides binary outputs to control the mode of operation. The controller 107 operates in a low power sleep state until the pulse from the low power, low duty cycle quench is detected via some incoming radio frequency and is awakened. The controller 107 then immediately upon awakening turns off the low duty cycle mode and turns on the normal quench frequency controller and searches for valid radio frequency pulses for demodulation. Once the pulses have stopped for some period, the controller 107 turns off normal quench, turns on low power quench and goes back to low power sleep mode.

FIGURE 7 illustrates an asset tracking system 129 in accordance with one embodiment of the present invention. In this embodiment, an automobile storage facility for temporarily storing vehicles before the vehicles are transported to retail facilities is used to describe the operation of the asset tracking system. In that embodiment, vehicles may be stored at the automobile storage facility after manufacture or after importation. The asset tracking system 129 of the present invention may be used with other suitable applications where inventory or other items of interest are distributed over large areas.

Referring to FIGURE 7, the asset tracking system 129 includes a base station 120 and a tag network 125. The base station 120 is similar to the previously described base station 11. Tag network 125 includes a plurality of dual frequency radio tags 130-165. Dual frequency radio

tags 130-165 are similar to the previously described radio tag 29 and are operable to transmit and/or receive on multiple frequencies. It will be understood that single frequency tags may be used within the tag network 125.

5           The base station 120 may include a dual mode antenna as previously described or separate antennas for the different frequency ranges transmitted and received by base station 120. For a VHF/UHF and VLF/LF embodiment, base station 120 uses separate antennas for VHF/UHF frequencies and VLF/LF frequencies. A VHF/UHF antenna 121 is coupled  
10           to base station 120 and is operable to receive messages from dual frequency radio tags 130-165 transmitted on the VHF/UHF frequency. The VLF/LF frequency range is used to transmit signals from base station 120 to radio tags 130-  
15           165. Because VLF/LF frequencies have limited transmission distance, a large loop antenna is used to disperse the message transmitted on the VLF/LF frequency over an area determined to reach a number of radio tags within radio tags 130-165 in order to propagate the message over the  
20           entire vehicle storage facility.

          In a particular embodiment, VLF/LF antenna 122 is a 20 foot by 100 foot loop antenna. Base station 120 generates signals at a power level determined to disperse the signals approximately 15 to 20 feet on either side of VLF/LF  
25           antenna 122. Thus, base station 120 has a VLF/LF frequency operational range 126 of approximately 60 feet by 140 feet. The operational range is the maximum area covered by a device or a suitable portion of that area. Radio tags within the operational range of base station 120 will  
30           receive signals from base station 120 on a VLF/LF frequency receiver. Radio tags outside the operational range of base station 120 will receive signals propagated through the tag network 125 using the previously described retransmission capability of the radio tags 130-165. Each radio tag 130-



165 in tag network 125 has an operational range 127 illustrated by hashed circles.

5 A specific example of an asset tracking system in accordance with the asset tracking system illustrated in FIGURE 7 is a vehicle storage facility used for storing imported vehicles after the vehicles are unloaded from a ship. Before entering the vehicle storage facility, a dual frequency radio tag similar to radio tag 29 is attached or otherwise coupled to each vehicle for tracking and  
10 identification purposes. An asset tracking system used in this way will allow efficient tracking of a large number of vehicles held in inventory while awaiting transport to retail facilities across the country. The tag network 125 of FIGURE 7 will be discussed referring specifically to the import vehicle lot example.  
15

In the vehicle storage facility embodiment, outbound messages from base station 120 are generally non-explicit communications such as an inventory request message, and inbound messages are generally explicit communications,  
20 such as responses to base station 120 for an inventory request message.

Base station 120 may be located anywhere in the vehicle storage facility. The operational range of base station 120 and radio tags within tag network 125 are sized  
25 such that at least one radio tag is within the operational range of base station 120 and all other radio tags in tag network 125 are within the operational range of at least one other radio tag. Sizing the operational ranges of base station 120 and radio tags in tag network 125 ensures that  
30 messages sent by base station 120 or a radio tag in tag network 125 reach an appropriate destination or destinations.

In operation, base station 120 may periodically take inventory of the vehicle storage facility, verify that a

particular vehicle is within the facility or obtain locational or other information about a vehicle. Base station 120 may be loaded with expected inventory by entering each vehicle into inventory as it enters the vehicle storage facility and removing each vehicle from inventory as it exits the vehicle storage facility. After base station 120 takes inventory of the vehicle storage facility, base station 120 may compare the inventory results with the expected inventory in order to identify potentially missing vehicles.

When base station 120 takes a periodic inventory of the vehicle storage facility, base station 120 generates a non-explicit message requesting that all radio tags 130-165 in tag network 125 respond. Recall that non-explicit messages are broadcast messages with no specific target or destination address. Thus, all radio tags 130-165 in tag network 125 receiving the message will respond to base station 120 and forward the message to other radio tags. The responses received by base station 120 can be compared to the expected inventory and missing, or unaccounted for, vehicles can be identified.

Communications within an asset tracking system 129 utilize acknowledgments and resending of messages in order to ensure that messages are received as intended. After a message is transmitted, any tag receiving that message will issue an acknowledge response or an unacknowledged response. An acknowledge response informs the transmitting radio tag that the message was successfully received. An unacknowledged response informs the transmitting radio tag that only a portion of the message was received and that the message should be retransmitted. If the transmitting device does not receive an acknowledge or unacknowledged response within a specified period of time, the transmitting device will retransmit the message a fixed

number of times to insure that any radio tag within the operational range of the transmitting device receives the message. In a particular embodiment, messages are retransmitted a maximum of three times. If the acknowledge  
5 message is not received within a predetermined time, the message is retransmitted a fixed number of times before the destination radio tag is considered not found or missing. Since a message can be retransmitted and received multiple times by a radio tag, radio tags include logic to prevent  
10 a particular radio tag from responding to a particular message more than one time. In one embodiment, the logic to prevent multiple responses to a particular message includes adding a transaction number to each message so that a radio tag only responds once to a particular  
15 transaction number. In one embodiment, the transaction number is randomly generated at the radio tags and sequentially generated at the base station.

In the exemplary embodiment, base station 120 transmits the non-explicit inventory request message on the  
20 VLF/LF frequency via VLF/LF antenna 122. Radio tags in tag network 125 that exist within the operational range 126 of base station 120 receive the inventory request message on an internal receiver similar to the previously described  
25 second frequency receiver 36. The receiving radio tags 130-132 acknowledge receipt of the message, process the message and respond accordingly, and retransmit the message to other radio tags within tag network 125. The inventory  
30 request message is retransmitted through tag network 125 such that all radio tags receive the message. As responses are generated by radio tags in tag network 125, other radio tags receive and retransmit the responses until they reach base station 120.

In the exemplary embodiment, radio tags 130-132 exist within the operational range 126 of base station 120 and

receive the inventory request message on a VLF/LF receiver 36 in each radio tag. The inventory request message is preceded by a wake up signal identifier. Radio tag 130 receives the inventory request message and generates an explicit communication to base station 120 acknowledging receipt of the inventory request message. Radio tag 130 then generates an appropriate response to base station 120. A response generated by a radio tag will generally identify the address of the radio tag generating the response and the destination address of the device to receive the response. In this case, the response generated by radio tag 130 would identify radio tag 130 as the generating device address and base station 120 as the destination device address since base station 120 initiated the inventory request message.

After transmitting a response to base station 120, radio tag 130 retransmits the inventory request message through its internal VHF/UHF transmitter 32. Radio tag 140 receives the inventory request message on its internal VHF/UHF receiver 34. Radio tag 140 first acknowledges receipt of the inventory request message and then generates and transmits an appropriate response to the inventory request message. Radio tag 140 then retransmits the inventory request message to other radio tags. Radio tag 130 receives the acknowledge message and the response generated by radio tag 140. Since the response is an explicit communication directed toward base station 120, radio tag 130 retransmits the response to base station 120.

The inventory request message continues in tag network 125 through radio tags 141-145. Each radio tag 141-145 receives the inventory request message, generates an acknowledge message to the radio tag that forwarded the inventory request message, generates an appropriate response, and retransmits the original inventory request

message. Each radio tag in the tag network 125 may receive and retransmit an explicit response directed to base station 120. In this way, inventory request messages generated by base station 120 are propagated through tag network 125 and any responses directed to base station 120 flow through the tag network 125 to base station 120.

Radio tag 150 is outside the operational range 126 of both base station 120 and, the operational range 127 of radio tag 130. However, it is within the operational range 127 of radio tag 140. Therefore, when radio tag 140 retransmits the inventory request message, radio tag 150 receives the inventory request message, generates an acknowledge message to radio tag 140, and generates an appropriate response to base station 120. Radio tag 150 then propagates the inventory request message through radio tags 150-152 in tag network 125 by retransmitting the inventory request message.

Radio tag 132 is within the operational range 126 of base station 120 and functions as the link between base station 120 and radio tags 160-165 in tag network 125. Messages are transmitted and received through radio tags 160-165, in tag network 125 as previously discussed with relation to radio tags 140-145 and radio tags 150-152.

Radio tag 131 exists within the operational range 126 of base station 120 and receives and responds accordingly to the inventory request message generated by base station 120. Because radio tags already within the operational range 127 of radio tag 131 are activated by other tags, radio tag 131 does not further activate any other radio tags in tag network 125 and does not perform communications between radio tags in tag network 125.

FIGURE 8 illustrates the operation of a radio tag in tag network 125 in one embodiment of asset tracking system 129. Before the process illustrated in FIGURE 8 commences,

the radio tag receives an appropriate wakeup signature and prepares to receive an incoming message. The incoming message could be a non-explicit communication from base station 120, an explicit communication from base station 120, a message retransmitted by another radio tag, an acknowledge message, an unacknowledged message or other suitable message.

The method begins at step 170 where a dual frequency radio tag receives a signal containing a message. Internal antenna system 38 obtains the signals and forwards the signals to VHF/UHF receiver 34 and VLF/LF receiver 36. VHF/UHF receiver 34 and VLF/LF receiver 36 tune and demodulate the signal to generate a digital bit stream containing the message for processing by processor 30.

The method proceeds to decisional step 171 where processor 30 determines whether a complete message has been received. If a complete message has not been received, the NO branch of decisional step 171 proceeds to step 172 where the radio tag generates and transmits an unacknowledged message. After step 172, the process terminates and waits for retransmission of the partially received message.

If a complete message has been received either initially or upon retransmission, the YES branch of decisional step 171 proceeds to decisional step 173 where processor 30 determines if the message is an acknowledge message for a message recently transmitted by the radio tag. If the message is an acknowledge message for a message recently transmitted by the radio tag, no further processing is necessary and the YES branch of decisional step 173 leads to the end of the method. If the message is not an acknowledge message, the NO branch of decisional step 173 leads to decisional step 174 where processor 30 determines whether the message is an unacknowledged message for a recently transmitted message by the current radio

tag. If the message is not an unacknowledged message for a recently transmitted message by the current radio tag, the NO branch of decisional step 174 proceeds to step 176 where the radio tag generates and transmits an acknowledge message to acknowledge receipt of the current message.

Proceeding to decisional step 178, the tag processor 30 determines whether the message is an explicit communication. If the message is an explicit communication, the YES branch of decisional step 178 proceeds to decisional step 180 where the processor 30 determines whether the radio tag is the destination for the explicit message. If the current radio tag is the destination for the explicit message, the YES branch of decisional step 180 proceeds to step 182 where the radio tag generates and transmits an explicit response to the originating device, in this embodiment, base station 120.

The method proceeds to decisional step 191 where the radio tag determines if an acknowledge message has been received within the time out period. As previously discussed, the time out period is a waiting period before the radio tag will retransmit the message. If an acknowledge message is received within the time out period, the YES branch of decisional step 191 leads to the end of the method. If an acknowledge message is not received within the time out period or upon receipt of an unacknowledged message, the NO branch of decisional step 191 proceeds to decisional step 192 where the radio tag determines whether the message has been retransmitted the maximum number of times. If the radio tag determines that the maximum number of retransmissions has been reached, the YES branch of decisional step 192 leads to the end of the method. If the radio tag determines that the maximum number of retransmissions has not been reached, the NO

branch of decision step 192 returns to step 182 where the response is transmitted.

Returning to decisional step 178, if the message is not an explicit communication, the NO branch of decisional  
5 step 178 proceeds to step 184 where the radio tag generates and transmits an appropriate response to the originating device. In the exemplary embodiment, base station 120 is the originating device for non-explicit messages within the asset tracking system 129. The method proceeds to  
10 decisional step 188 where the radio tag determines if an acknowledge message has been received within the time out period. As previously discussed, the time out period is a waiting period before the radio tag will retransmit the message. If an acknowledge message is received within the  
15 time out period, the YES branch of decisional step 188 leads to the end of the method. If an acknowledge message is not received within the time out period or upon receipt of an unacknowledged message, the NO branch of decisional step 188 proceeds to decisional step 190 where the radio  
20 tag determines whether the message has been retransmitted the maximum number of times. If the radio tag determines that the maximum number of retransmissions has been reached, the YES branch of decisional step 192 leads to the end of the method. If the radio tag determines that the  
25 maximum number of retransmissions has not been reached, the NO branch of decision step 192 returns to step 184 where the response is transmitted.

Returning to decisional step 174, if the received message is an unacknowledged message, the YES branch of  
30 decisional step 174 proceeds to step 186 where the message that was partially received by another device is retransmitted. Similarly, at decisional step 180, if the current radio tag is not the destination for the explicit



message, the NO branch of decisional step 180 proceeds to step 186 where the current message is retransmitted.

After step 186, the method proceeds to decisional step 193 where the radio tag determines if an acknowledge or unacknowledged message has been received within the time out period. As previously discussed, the time out period is a waiting period before the radio tag will retransmit the message. If an acknowledge message is received within the time out period, the YES branch of decisional step 193 leads to the end of the method. If an acknowledge message is not received within the time out period or an unacknowledged message is received, the NO branch of decisional step 193 proceeds to decisional step 194 where the radio tag determines whether the message has been retransmitted the maximum number of times. If the radio tag determines that the maximum number of retransmissions has been reached, the YES branch of decisional step 194 leads to the end of the method. If the radio tag determines that the maximum number of retransmissions has not been reached, the NO branch of decision step 194 returns to step 186 where the appropriate message is retransmitted.

FIGURE 9 illustrates a distributed asset control system 200 in accordance with another aspect of the present invention. In this embodiment, the distributed asset control system 200 may be used, for example, to track expensive garments on hanging racks located throughout a retail facility. Distributed asset control system 200 may be used in a variety of situations including other retail and office asset management systems to monitor assets.

Referring to FIGURE 9, the distributed asset control system 200 includes a base station 202 and a plurality of primary tags 210. Each primary tag 210 is functionally associated to a set of secondary tags 212. A dual mode

antenna 204 as previously described is part of base station 202. In an exemplary embodiment, primary tag 210 is a dual frequency radio tag 29 as previously described. The base station 202 includes a reader and control system similar to base station 11. The base station 202 is located in a facility to be monitored such that at least one primary tag 210 is within the operational range 205 of base station 202. The operational range 211 of each primary tag 210 is set such that a message transmitted by base station 202 will be received and propagated to each primary tag 210 within distributed asset control system 200.

Dual mode antenna 204 may transmit and receive signals in the VLF/LF frequency range and the VHF/UHF frequency range. Although the present embodiment will be discussed using three hanging racks 206, 207, and 208, more hanging racks could be added to the distributed asset control system 200 without requiring additional base stations 202. For the exemplary embodiment, a primary tag 210 is mounted on each hanging rack 206, 207, and 208 and controls a set of associated secondary tags 212. Each secondary tag 212 is mounted on an article of clothing. Secondary tags 212 are preferably passive radio tags in order to reduce costs. However, secondary tags 212 may comprise active radio tags.

Each primary tag 210 within the distributed asset control system 200 is loaded with the inventory for the hanging rack to which the primary tag 210 is attached. Periodically, base station 202 transmits updates to the primary tags 210 accounting for garments sold or garments added to the hanging racks. Since a secondary tag 212 is attached to each garment, garments sold or added to hanging racks translates into secondary tag identifications being removed or added to the expected inventory stored in primary tag 210. Each primary tag 210 is programmed to periodically initiate an inventory control function. Thus,

the inventory control of each hanging rack 206, 207, and 208 is performed by the primary tag 210 attached to the hanging rack. By distributing the inventory control function into primary tags 210, more available bandwidth and computing power of base station 214 is available for monitoring store exits, sales, new inventory, and other functions.

Each primary tag 210 periodically transmits a non-explicit inventory request message. Each secondary tag 212 within the set of secondary tags 212 associated with each primary tag 210 responds identifying itself as a secondary tag 212 within the operational range 211 of a primary tag 210. Each primary tag 210 receives the responses and generates a monitored asset status by comparing the responding secondary tag 212 identification information to the expected inventory. If there are discrepancies, such as missing or additional garments, an alarm message identifying the missing or extra garments is generated and transmitted to base station 202. Each primary tag 210 is operable to retransmit explicit messages destined for a different device address as previously described. Therefore, an inventory report or alarm message generated by a primary tag 210 may be retransmitted to base station 202 by another primary tag 210. Similarly, when base station 202 transmits messages to primary tags 210, the primary tags 210 are operable to retransmit the message so that all primary tags 210 within distributed asset control system 200 receive the message.

In addition to the periodic inventory control functions of primary tags 210, base station 202 may periodically initiate its own inventory control process, for example when the store opens and closes. Base station 202 would transmit an inventory request message to all primary tags 210 within its operational range 205.

Since the inventory request message is a non-explicit communication, primary tags 210 retransmit the message to other primary tags 210 and perform the requested inventory control function. The results of the inventory control functions are transmitted to base station 202 through the primary tag 210 chain utilizing tag to tag communications as previously described.

In another embodiment, the primary tags may be used in connection with a computer, telephone, or other suitable device connected to a base station over a wire line network. In that embodiment, the base station generates a polling event, and the primary tag receives the polling event over the wireline connection and processes the polling event as previously described.

The distributed asset control system utilizing primary tags 210 and secondary tags 212 may be used in various situations. Another example of a situation where this type of system would be appropriate is assisting parents with tracking their children in a crowded environment such as an amusement park. A parent would wear a primary tag 210 and the children would wear secondary tags 212. In this example, the secondary tags 212 are preferably active radio tags in order to eliminate potential environmental influences which may alter the operational range of passive radio tags and, therefore, provide inconsistent results. Using active radio tags provides for a consistent operational range between the primary tag 210 and the secondary tag 212.

In the parent/child system, the primary tag 210 would be set to periodically issue a polling request for its assigned secondary tags 212. The time period is preferably a short time period such as 5-10 seconds. The operational range of the primary tag 210 is set to a relatively short distance such as ten feet. Thus, as long as the secondary

tag 212 on each child remains within a ten foot radius of the primary tag 210 on the parent, no error or alarm conditions result. If a child wearing the secondary tag 212 is not within the ten foot radius of the primary tag 210 when the primary tag 210 issues a poll, an alarm would sound on the primary tag 210. The alarm would alert the parent that a child is outside the specified range and investigative action could be started. The secondary tags 212 could also initiate periodic polling to ensure that the primary tag 210 was within range. The system could also include a central monitoring station so that a missing child alarm could be immediately reported to a central facility so that exits could be appropriately monitored.

In another embodiment, the distributed asset control system may be used in a proximity detection application. For example, large hauler trucks or other large equipment that cannot readily see other vehicles in their path may be equipped with a primary tag that periodically polls secondary tags in the other vehicles. Upon receiving a response from a secondary tag that indicates that the secondary tag is within the operational range of the primary tag on the large hauler truck, the primary tag generates an alarm indicating the proximity of other vehicles.

FIGURE 10 illustrates the operation of a primary tag 210 in the distributed asset control system 200 in accordance with one embodiment of the present invention. The method begins at step 220 where a primary tag 210 is initialized with the identification numbers of each secondary tag 212 that is functionally associated to primary tag 210. At step 222, the primary tag polls secondary tags 212 to determine or verify the existence of associated secondary tags 212 within the operational range of the primary tag 210. Next, at step 224, secondary tags

212 receiving the poll transmit a response. The responses include the address of the tag generating the response.

Proceeding to step 226, primary tag 210 compares the identifications in the responses from secondary tags 212 to the linked list of secondary tags. The linked list includes the identification of the associated secondary tags. At decisional step 228, the primary tag 210 determines a monitored asset status based on whether the identifications in the responses exist in the linked secondary tag list. If one or more secondary tags on the linked secondary tag lists did not respond or one or more secondary tags responded, the YES branch of decisional step 228 proceeds to step 230 where an alarm condition with the monitored asset status of the most recent polling event is transmitted to the base station 202. If there are no discrepancies between the linked list of secondary tags and the responding secondary tags, the NO branch of decisional step 228 proceeds to step 232 where an all normal message with the results of the most recent polling event is transmitted, when necessary, to the base station 202. The results of the polling event are transmitted only if the RFID system is designed such that base station 202 is informed of each inventory conducted by the primary tags 210.

FIGURE 11 illustrates an access control system 240 using primary tags and secondary tags. Access control system 240 may be used, for example, in a secure facility 241. The access control system 240 may be used to track high priced assets within secure facility 241 and to prevent unauthorized removal therefrom.

Secure facility 241 may have several access doors 242. Each access door 242 has an access controller 244 which may be networked to a central control computer (not expressly shown). A primary tag 248 is attached to an asset 246 such

as a laptop computer or a personal computer. Primary tag 248 is preferably a dual frequency radio tag such as dual frequency radio tag 29 previously described. Primary tag 248 has been previously loaded with the identification numbers of linked secondary tags 252 which may possess and remove asset 246 from secure facility 241. A person 250 has a secondary tag 252. Active or passive secondary tag 252 may be an identification tag. In one embodiment, only persons 250 with a secondary tag 252 that is linked to primary tag 248 on asset 246 are permitted to remove asset 246 from secure facility 241.

In another embodiment, access control system 240 may be used to prevent assets 246 from leaving secure facility 241. In that embodiment, primary tag 248 would have no authorized secondary tags 252 loaded in its memory. One skilled in the art will understand that the access control system 240 can provide many levels of control. For instance, two separate assets which must be used together could be linked so that one asset could not be moved without the other. Another example would be mandating that certain persons always leave the secure facility with a certain asset such as a pager.

In another embodiment, access control system 240 may be used as a warranty control and fraud detection system. In this embodiment, products with expensive parts may be monitored to determine if the original parts are present when the product is submitted for warranty repair. For example, a computer has several expensive component parts that may be interchanged thus subjecting the warranty repair facility to fraudulent requests for repairs when non-functioning, non-original parts are placed in the computer. In the exemplary embodiment, a computer has a primary tag mounted inside the computer case. A secondary tag is mounted on each component part of interest. For

instance, the mother board, the processor chip, the power supply, the disk drive, the CD-ROM drive, and any other expensive parts may have a secondary tag. Before leaving the manufacturing facility, the primary tag is loaded with a functionally linked list of secondary tags.

When the computer is submitted for warranty work, a base station issues a wakeup signal causing the primary tag to poll the surrounding secondary tags to determine if all the original parts are present. If each secondary tag on the linked list of secondary tags is present, the primary tag issues an equipment list verification message to the base station. If any original parts are missing, the primary tag would issue a missing parts message to the base station. If any parts are changed during warranty repair, the primary tag is updated with the new list of functionally linked secondary tags.

FIGURE 12 illustrates the basic method of the access control system 240 of the present invention. The method begins at step 260 where primary tag 248 is loaded with a list of linked secondary tags 252. The linkage identifies which secondary tags 252 can or must accompany primary tag 248 as it proceeds through an access door 242. Access door 242 may be an exit from secure facility 241 or an access between secured locations within secure facility 241.

During operation, at step 262, an access controller 244 issues a wakeup signal. As person 250 approaches access door 242 with asset 246, primary tag 248 receives the wakeup and/or other suitable signal and message indicative of an attempt being made to remove the asset of the tag from the secure facility 241. The method then proceeds to step 264 where primary tag 248 issues a polling request for tags within its operational range. At step 266, primary tag 248 receives, accumulates and processes



the responses from tags within the operational range of primary tag 248.

In processing responses, the primary tag determines if passage through access door 242 is permitted. If a  
5 responding secondary tag is on the list of linked secondary tags, exit may be approved. If the accumulated responses do not include a functionally linked secondary tag 252, exit through access door 242 may be denied. Next, primary tag 248 approves passage through access door 242, the YES  
10 branch of decisional step 268 proceeds to step 270 where primary tag 248 transmits an open door request or other suitable signal indicating that passage should be allowed to access controller 244. At step 272, access controller 244 receives the open door request and opens access door  
15 242. If primary tag 248 does not approve passage through access door 242, the NO branch of decisional step 268 proceeds to step 274 where primary tag 248 generates and transmits an alarm signal to access controller 244. At step 276, access controller 244 sounds an alarm indicating that an unauthorized person is attempting to remove an  
20 asset from secure facility 241 and prevents exit from secure facility 241.

FIGURE 13 illustrates an asset management system 280 utilizing a hierarchy of primary tags and secondary tags to  
25 ensure that equipment, goods, personal, and other items are properly matched. An example of an asset management system 280 in accordance with the present invention is a secure trucking facility 281 having access gates 282. A gate control system 283 controls access gates 282 providing  
30 entrance to and exit from the secure trucking facility 281. Secure trucking facility 281 includes a warehouse and loading dock (not expressly shown).

Referring to FIGURE 13, a tractor 296 has a tractor tag 286. Tractor tag 286 is a secondary tag and may be an

active secondary tag or passive secondary tag as previously discussed. Trailer 292 has a trailer tag 288. Trailer tag 288 is an intermediate tag that functions as both a primary tag and a secondary tag. Trailer tag 288 is similar to radio tag 29 as previously discussed. Trailer 288 contains a set of cargo 292 wherein each cargo box 294 has a cargo tag 295. Cargo tag 295 may be a secondary tag as previously discussed. A driver (not expressly shown) has a driver tag 284. Driver tag 284 may be a primary tag such as radio tag 29 as previously discussed.

Asset management system 280 utilizes a hierarchy of primary tags to distribute the asset management functions of the system. The first level of primary tag would be the driver tag 284 that communicates directly with gate control system 283. Driver tag 284 then controls the second level of primary tag, the intermediate trailer tag 288. Trailer tag 288 manages its linked secondary tags, cargo tags 295. Driver tag 284 manages its functionally linked secondary tags, tractor tag 286 and trailer tag 288.

A driver is assigned a driver tag 284 that has a list of linked secondary tags that must be present before driver tag 284 informs gate control system 283 to open access gates 282. In the exemplary embodiment, driver tag 284 has the identification number of tractor tag 286 and trailer tag 288 on its functionally linked secondary tag list. Trailer tag 288 is loaded with a list of linked cargo tags 295 that should be present on the trailer 292.

The trailer 292 is loaded with cargo 294 at a loading dock. The driver couples his assigned tractor 296 to the appropriate trailer 292 and proceeds to access gates 282. As the tractor trailer rig approaches gate control system 283, gate control system 283 transmits a wakeup signal. Driver tag 284 receives the wakeup signal and transmits a polling request for linked secondary tags within its

operational range. Tractor tag 286 and trailer tag 288 receive the polling request and issue a response identifying themselves. Driver tag 284 verifies that tractor tag 286 and trailer tag 288 identify the expected tractor and trailer combination loaded in driver tag 284. After trailer tag 288 receives the polling request from driver tag 284, trailer tag 288 also acts as a primary tag and issues a polling request to the set of cargo tags 295. Each cargo tag 295 issues a response identifying itself to trailer tag 288. Trailer tag 288 accumulates the responses from cargo tags 295 and compares identifications in the responses to its list of linked secondary tags. If trailer tag 288 determines that a linked secondary tag is missing or that a responding cargo tag 295 is not on the linked secondary tag list, trailer tag 288 transmits a message to driver tag 284 indicating that the cargo 290 loaded on trailer 292 is incorrect. If trailer tag 288 determines that the appropriate cargo 290 has been loaded on trailer 292 based on matching the responding cargo tags 295 with the linked list of secondary tags, trailer tag 288 transmits a message to driver tag 284 indicating that the appropriate cargo 290 has been loaded on trailer 292.

Driver tag 284 determines if the proper tractor 296 and trailer 292 combination exists and whether trailer tag 288 has determined if trailer 292 has been loaded with the correct cargo 290. Based on this information, driver tag 284 transmits a message to gate control system 283 either authorizing exit from secure trucking facility 281 or indicating that an error condition exists and must be investigated. By utilizing the system, a secure trucking facility 281 may ensure that the correct cargo 290 is being transported to the correct location before the tractor-trailer rig leaves the secure trucking facility 281. Gate control system 283 can be likewise configured to perform

some or all of driver, tractor, trailer and cargo inquiries. However, by distributing certain processing into the radio tags, bandwidth savings are realized and gate control system 282 has increased processing capabilities.

It is apparent that there has been provided in accordance with the present invention a system for communications between radio tags which satisfies the advantages set forth above such as providing communications between radio tags and distributed processing capability for the RFID system. Although the present invention and its advantages have been described in detail, it should be understood that various changes, substitutions, and alterations readily apparent to those skilled in the art may be made without departing from the spirit and the scope of the present invention as defined by the following claims.

WHAT IS CLAIMED IS:

1. A method for monitoring assets using radio frequency tags, comprising:

5 storing in each of a plurality of primary tags an identification of each linked tag associated with the primary tag;

in response to a polling event for one of the primary tags, the primary tag transmitting a query message for linked tags within an operational range of the primary tag;

10 each linked tag receiving the query message transmitting a response message including an identification of the linked tag; and

the primary tag receiving the response messages and comparing the identifications in the response messages to the identifications stored for each linked tag associated with the primary tag.

20 2. The method of Claim 1, further comprising the primary tag determining a monitored asset status based on the comparison of the identifications in the response messages to the identifications stored for each linked tag associated with the primary tag.

25 3. The method of Claim 2, further comprising the primary tag generating an alarm condition in response to the monitored asset status indicating that a linked tag is missing from the operational range of the primary tag.

30 4. The method of Claim 2, further comprising the primary tag generating an alarm condition in response to the monitored asset status indicating that no linked tags are present in the operational range of the primary tag.

5. The method of Claim 2, further comprising:

a non linked tag receiving the query message transmitting a response including an identification of the non linked tag; and

5 the primary tag generating an alarm condition in response to the monitored asset status indicating the presence of the non linked tag in the operational range of the primary tag.

10 6. The method of Claim 1, wherein the polling event is initiated by a base station.

15 7. The method of Claim 1, wherein the polling event is periodically generated by the primary tag based on the passage of a specified period of time.

20 8. The method of Claim 1, further comprising the primary tag reporting the results of the comparison to a base station.

065446.0114

9. A method for monitoring assets using radio frequency tags, comprising:

storing in a primary tag an identification of a linked tag associated with the primary tag;

5 in response to a polling event for the primary tag, transmitting a query message to tags within an operational range of the primary tag;

receiving response messages from tags within the operational range of the primary tag, the response messages each including an identification of the tag generating the response message; and

10 comparing the identification in each response message to the identifications of the linked tags stored in the primary tag.

15 10. The method of Claim 9, further comprising the primary tag determining a monitored asset status based on the comparison of the identifications in the response messages to the identifications of the linked tags stored in the primary tag.

20 11. The method of Claim 10, further comprising the primary tag generating an alarm condition in response to the monitored asset status indicating that a linked tag is missing from the operational range of the primary tag.

25 12. The method of Claim 10, further comprising the primary tag generating an alarm condition in response to the monitored asset status indicating that no linked tags are present in the operational range of the primary tag.

30

13. The method of Claim 10, further comprising:  
receiving a response message from a non linked tag;  
and

5 the primary tag generating an alarm condition in  
response to the monitored asset status indicating the  
presence of the non linked tag in the operational range of  
the primary tag.

10 14. The method of Claim 9, wherein the polling event  
is initiated by a base station.

15 15. The method of Claim 9, wherein the polling event  
is periodically generated by the primary tag based on the  
passage of a specified period of time.

16. The method of Claim 9, further comprising the  
primary tag reporting the results of the comparison to a  
base station.



17. A primary radio frequency tag for an asset monitoring system, comprising:

a storage unit operable to store an identification for at least one linked tag associated with the primary tag;

5 a transmitter operable to transmit a polling request to tags in the operational range of the primary tag;

a receiver operable to receive response messages from tags within the operational range of the primary tag, the response messages each including an identification of the tag generating the response message; and  
10

a controller operable to compare the identification in each response message to identifications of the linked tags stored in the storage unit and to determine a monitored asset status based on the comparison.  
15

18. The primary radio frequency tag of Claim 17, further comprising:

a report engine for generating a report message informing a base unit of the monitored asset status; and  
20

the transmitter further operable to transmit the monitored asset status to the base unit.

19. The primary radio frequency tag of Claim 17, further comprising a polling engine operable to periodically generate the polling request based on the passage of a specified period of time.  
25

20. The primary radio frequency tag of Claim 17, further comprising the storage unit operable to store identifications for a plurality of linked tags associated with the primary tag.  
30

21. The primary radio frequency tag of Claim 17, the receiver comprising a first receiver operable to receive the response messages on a first frequency;

the primary tag further comprising:

5                   a second receiver operable to receive a status  
request from a base station on a second disparate  
frequency; and

a polling engine operable to generate the polling request in response to the status request.

10

	1980	1981	1982	1983	1984	1985	1986	1987	1988	1989	1990	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021	2022	2023	2024	2025	2026	2027	2028	2029	2030	2031	2032	2033	2034	2035	2036	2037	2038	2039	2040	2041	2042	2043	2044	2045	2046	2047	2048	2049	2050	2051	2052	2053	2054	2055	2056	2057	2058	2059	2060	2061	2062	2063	2064	2065	2066	2067	2068	2069	2070	2071	2072	2073	2074	2075	2076	2077	2078	2079	2080	2081	2082	2083	2084	2085	2086	2087	2088	2089	2090	2091	2092	2093	2094	2095	2096	2097	2098	2099	2100	2101	2102	2103	2104	2105	2106	2107	2108	2109	2110	2111	2112	2113	2114	2115	2116	2117	2118	2119	2120	2121	2122	2123	2124	2125	2126	2127	2128	2129	2130	2131	2132	2133	2134	2135	2136	2137	2138	2139	2140	2141	2142	2143	2144	2145	2146	2147	2148	2149	2150	2151	2152	2153	2154	2155	2156	2157	2158	2159	2160	2161	2162	2163	2164	2165	2166	2167	2168	2169	2170	2171	2172	2173	2174	2175	2176	2177	2178	2179	2180	2181	2182	2183	2184	2185	2186	2187	2188	2189	2190	2191	2192	2193	2194	2195	2196	2197	2198	2199	2200	2201	2202	2203	2204	2205	2206	2207	2208	2209	2210	2211	2212	2213	2214	2215	2216	2217	2218	2219	2220	2221	2222	2223	2224	2225	2226	2227	2228	2229	2230	2231	2232	2233	2234	2235	2236	2237	2238	2239	2240	2241	2242	2243	2244	2245	2246	2247	2248	2249	2250	2251	2252	2253	2254	2255	2256	2257	2258	2259	2260	2261	2262	2263	2264	2265	2266	2267	2268	2269	2270	2271	2272	2273	2274	2275	2276	2277	2278	2279	2280	2281	2282	2283	2284	2285	2286	2287	2288	2289	2290	2291	2292	2293	2294	2295	2296	2297	2298	2299	2300	2301	2302	2303	2304	2305	2306	2307	2308	2309	2310	2311	2312	2313	2314	2315	2316	2317	2318	2319	2320	2321	2322	2323	2324	2325	2326	2327	2328	2329	2330	2331	2332	2333	2334	2335	2336	2337	2338	2339	2340	2341	2342	2343	2344	2345	2346	2347	2348	2349	2350	2351	2352	2353	2354	2355	2356	2357	2358	2359	2360	2361	2362	2363	2364	2365	2366	2367	2368	2369	2370	2371	2372	2373	2374	2375	2376	2377	2378	2379	2380	2381	2382	2383	2384	2385	2386	2387	2388	2389	2390	2391	2392	2393	2394	2395	2396	2397	2398	2399	2400	2401	2402	2403	2404	2405	2406	2407	2408	2409	2410	2411	2412	2413	2414	2415	2416	2417	2418	2419	2420	2421	2422	2423	2424	2425	2426	2427	2428	2429	2430	2431	2432	2
--	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	------	---

22. An asset monitoring system comprising:  
at least one secondary tag comprising:

an identification;

a receiver operable to receive a polling request;

5 a transmitter operable to transmit a response  
message in response to the polling request, the response  
message including the identification of the secondary tag;

a primary tag comprising:

10 a storage unit for storing the identification of  
at least one secondary tag associated with the primary tag;

a transmitter operable to transmit the polling  
request within the operational range of the primary tag;

15 a receiver operable to receive response messages  
from secondary tags within the operational range of the  
primary tag; and

a controller operable to compare the  
identification in each response message to identifications  
stored in the storage unit and to determine a monitored  
asset status based on the comparisons.

20

23. The asset monitoring system of Claim 22, wherein  
the primary radio tag is an active tag.

25 24. The asset monitoring system of Claim 22, wherein  
the one or more secondary radio tags are passive tags.

25. The asset monitoring system of Claim 22, further comprising a base station, the base station comprising:

a transmitter operable to transmit a status request to the primary tag; and

5 a receiver operable to receive the monitored asset status from the primary tag responsive to the status request.

10 26. The asset monitoring system of Claim 25, wherein the base station transmits at a first frequency and receives at a second disparate frequency.

15 27. The asset monitoring system of Claim 25, the receiver of the primary tag comprising a first receiver operable to receive the response messages on a first frequency, the primary tag further comprising a second receiver operable to receive the status request from the base station on a second disparate frequency.

065446.0114

28. An asset monitoring system, comprising:  
a plurality of secondary tags, each comprising:  
an identification;

5 a receiver operable to receive an intermediate  
polling request;

a transmitter operable to transmit a response  
message to the intermediate polling request, the  
response message including the identification of the  
secondary tag;

10 a plurality of intermediate primary tags, each  
comprising:

a storage unit storing the identification of a  
plurality of secondary tags associated with the  
intermediate primary tag;

15 a transmitter operable in response to a polling  
request from a primary tag to transmit the intermediate  
polling request within the operational range of the  
intermediate primary tag and to transmit an intermediate  
monitored asset status to the primary tag;

20 a receiver operable to receive response messages  
from secondary tags within the operational range of the  
intermediate primary tag in response to the polling request  
from the intermediate primary tag;

25 a controller operable to compare the  
identification in each response message to identifications  
stored in the storage unit and to determine the  
intermediate monitored asset status based on the  
comparisons;

a primary tag comprising:

30 a transmitter operable to transmit the polling  
request within the operational range of the primary tag;

a receiver operable to receive intermediate  
monitored asset statuses from the intermediate primary  
tags; and

a controller operable to determine a monitored asset status based on the intermediate monitored asset statuses.

5           29. The asset monitoring system of Claim 28, wherein the primary and intermediate primary tags are active tags and the secondary tags are passive tags.

10           30. The asset monitoring system of Claim 28, further comprising a base station, the base station comprising:

a transmitter operable to transmit a status request to the primary tag; and

15           a receiver operable to receive the monitored asset status from the primary tag responsive to the status request.

20           31. The asset monitoring system of Claim 28, wherein the primary tags are mounted to a transport vehicle, the intermediate primary tags are mounted to shipping containers, and the secondary tags are mounted to items shipped in the shipping containers.

32. A secured environment, comprising:  
an access door;

a first object having a secondary radio frequency tag,  
the secondary radio frequency tag comprising:

5           an identification;  
          a receiver operable to receive a polling request;  
          a transmitter operable to transmit a response  
message responsive to the polling request, the response  
message including the identification of the secondary tag;

10          a second object having a primary radio frequency tag,  
the primary radio frequency tag comprising:

          a storage unit for storing the identification of  
one or more secondary radio frequency tags for objects  
authorized to transport the second object through an access  
15 door;

          a transmitter operable in response to an  
authorization request from a door control unit to transmit  
the polling request within the operational range of the  
primary radio frequency tag and to transmit an  
20 authorization response to the door control unit for the  
access door;

          a receiver operable to receive response messages  
from secondary radio frequency tags within the operational  
range of the primary radio frequency tag and to receive the  
25 authorization request from the door control unit;

          a controller operable to compare the  
identifications in each response message to identifications  
stored in the storage unit and to determine the  
authorization response based on the comparison;

30          the door control unit, comprising:

          a transmitter operable to transmit the  
authorization request;

          a receiver operable to receive the authorization  
response from the primary radio frequency tag; and

a door controller operable to control the access door based on the authorization response from the primary radio frequency tag.

- 5           33. The secured environment of Claim 32, wherein the primary tag is an active tag and the secondary tag is a passive tag.

605446.0114



34. A method for monitoring mobile assets,  
comprising:

in response to a polling event, a primary tag  
transmitting a query message for secondary tags within an  
operational range of the primary tag;

each secondary tag receiving the query message  
transmitting a response message indicative of the secondary  
tag's proximity to the primary tag; and

the primary tag receiving the response messages and  
taking predefined action.

35. The method of Claim 34, wherein the predefined  
action includes the primary tag generating an alarm if  
secondary tags are present within the operational range of  
the primary tag.

36. The method of Claim 34, wherein the predefined  
action includes the primary tag generating an alarm if  
secondary tags are not present within the operational range  
of the primary tag.

37. The method of Claim 34, wherein the polling event  
occurs on a periodic basis after a passing of a specified  
period of time.

38. The method of Claim 34, wherein the polling event  
includes the primary tag receiving a polling request from  
a base station or other primary tag.

**RADIO FREQUENCY IDENTIFICATION  
SYSTEM AND METHOD**

5

ABSTRACT OF THE DISCLOSURE

10

15

20

A method and system for monitoring assets using radio frequency tags is provided that includes a plurality of primary tags. Each primary tag stores an identification of each linked tag associated with the primary tag. The primary tag receives a polling event and transmits a query message for linked tags within an operational range of the primary tag. Each linked tag receiving the query message transmits a response message that includes an identification of the linked tag. The primary tag receives the response messages and compares the identifications in the response messages to the identifications stored for each linked tag. Based on the comparison, the primary tag determines a monitored asset status.

FIG. 1

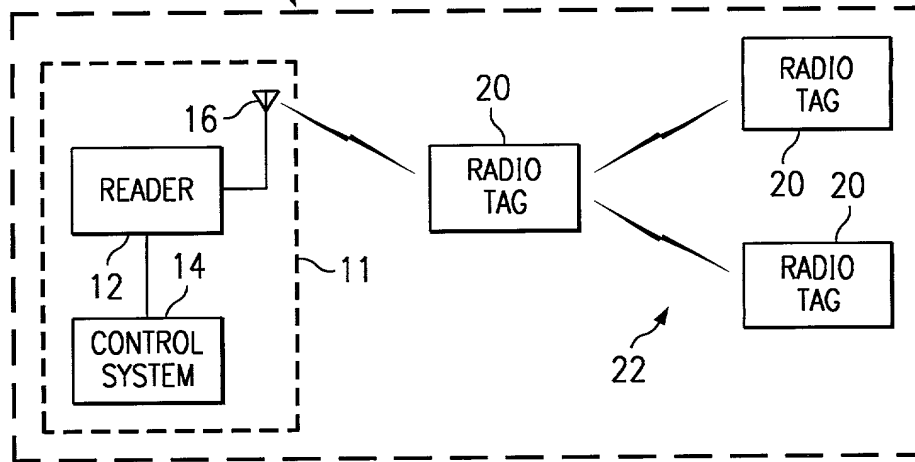


FIG. 2

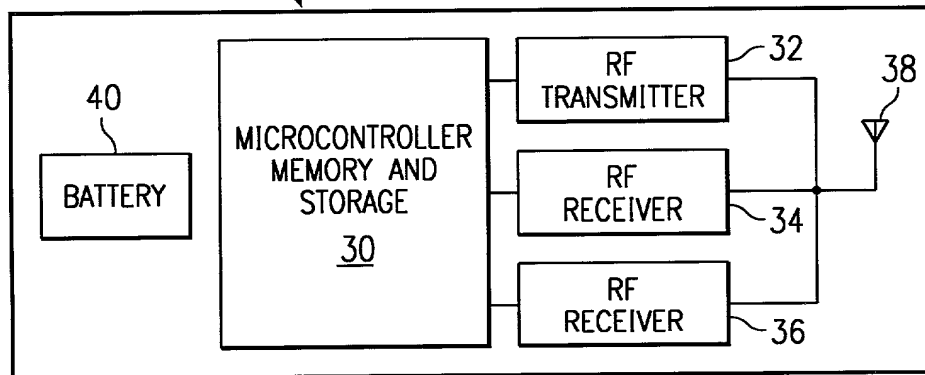
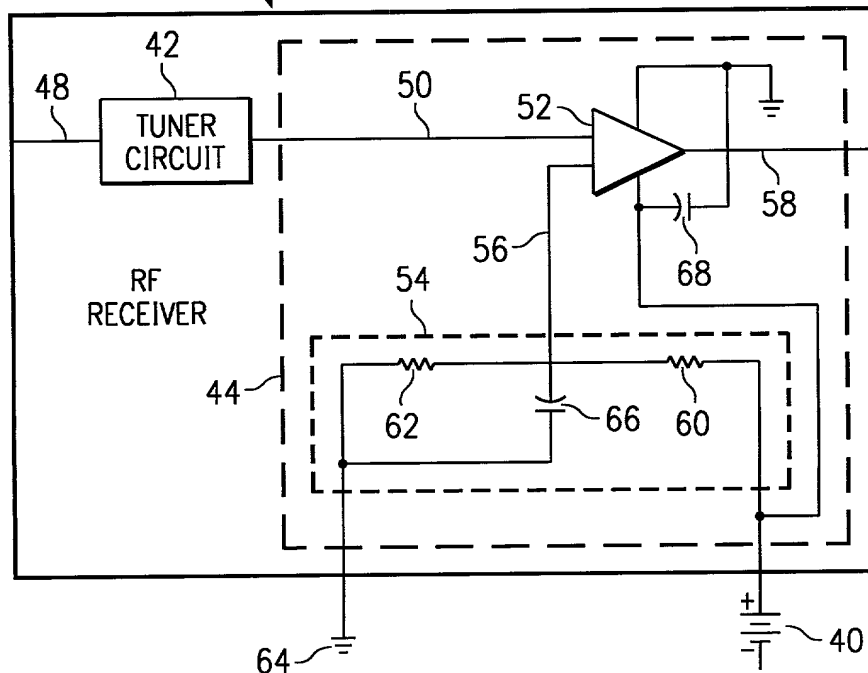
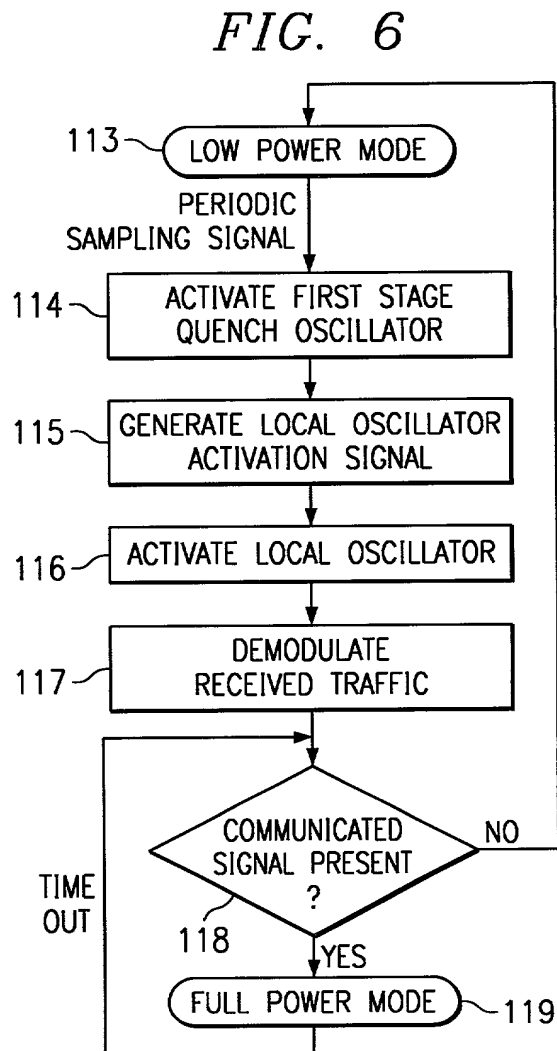
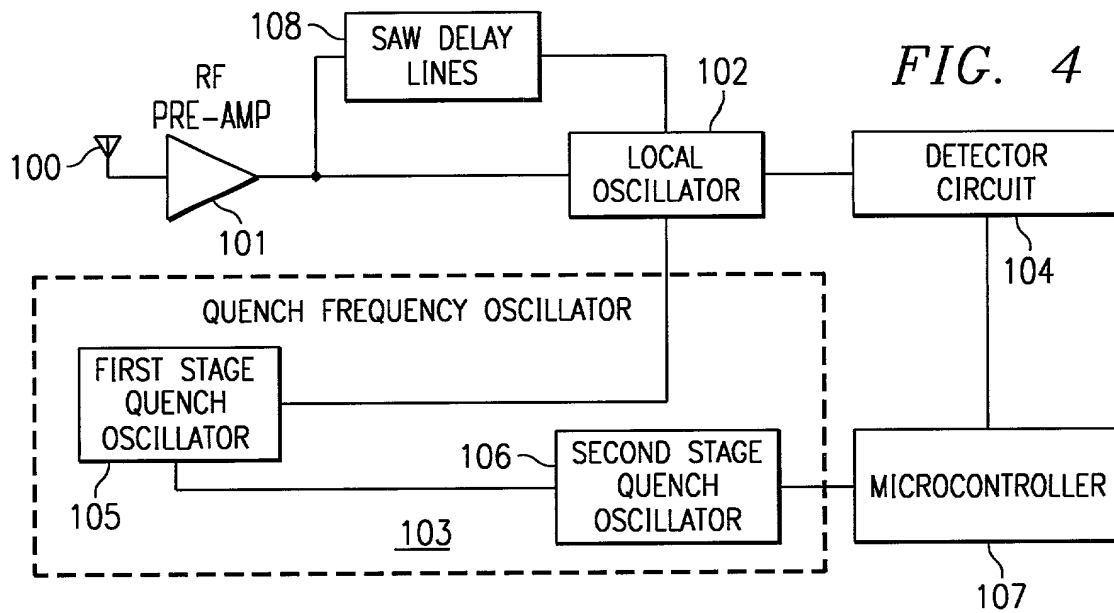


FIG. 3





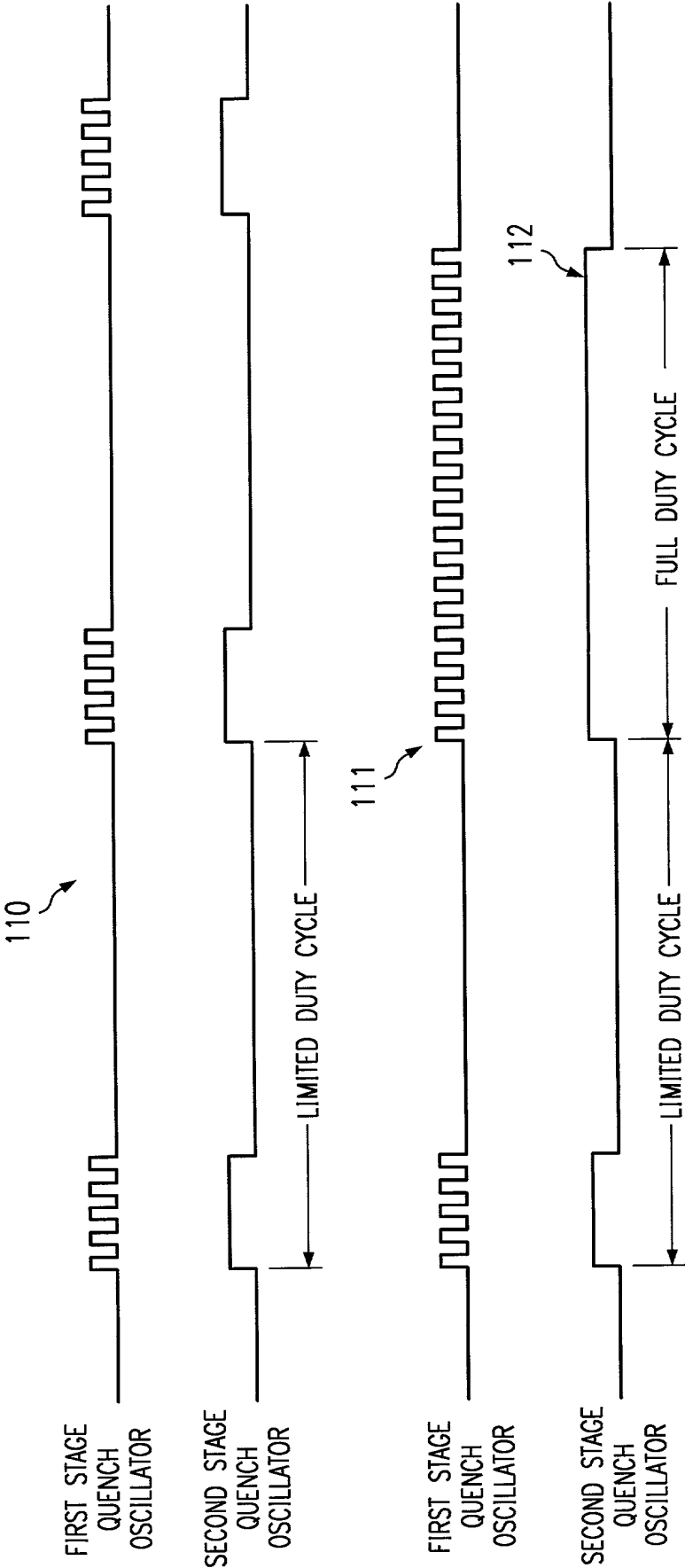
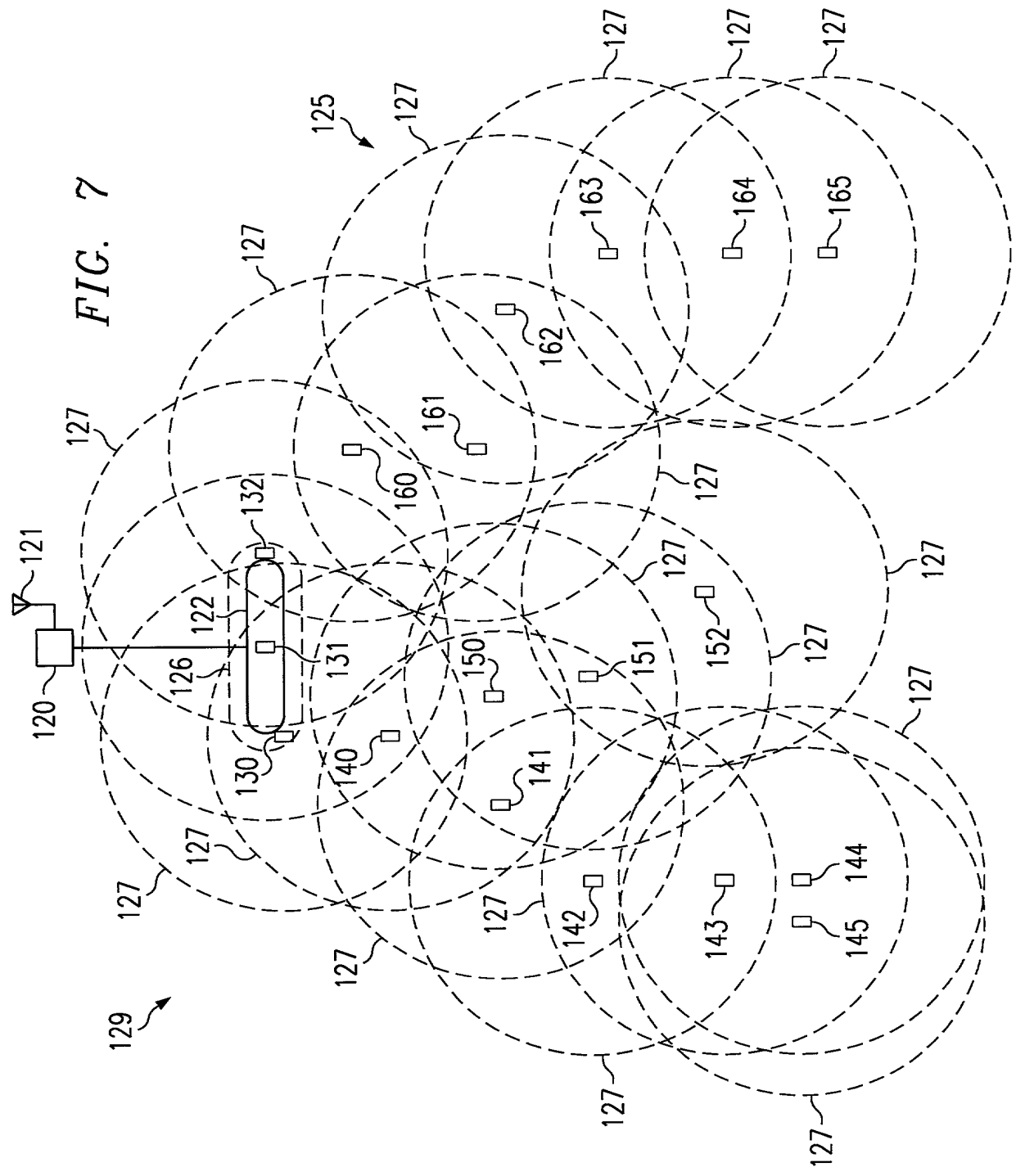
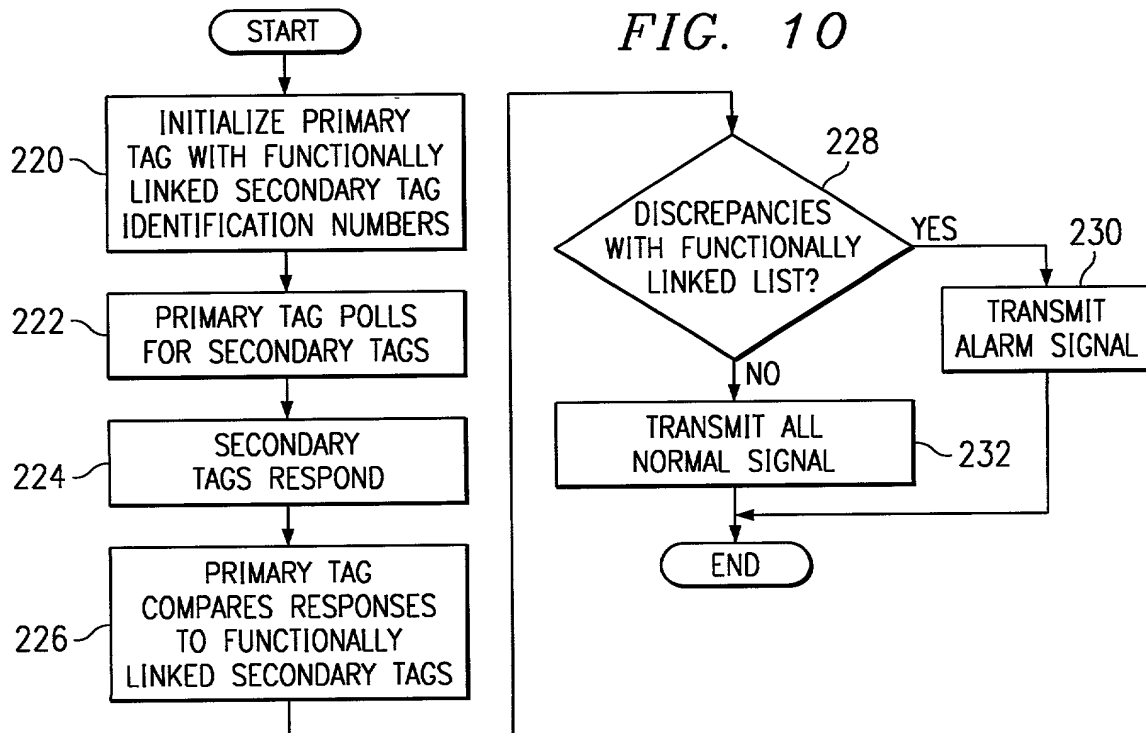
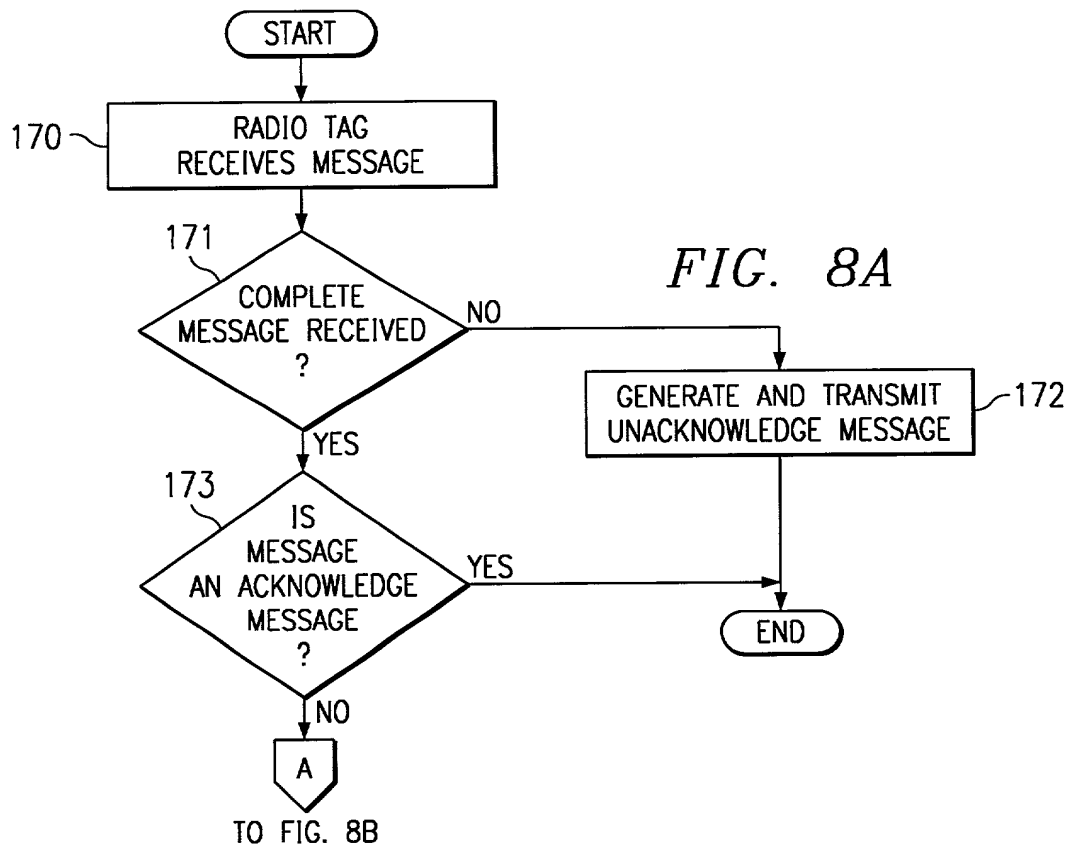


FIG. 5

FIG. 7





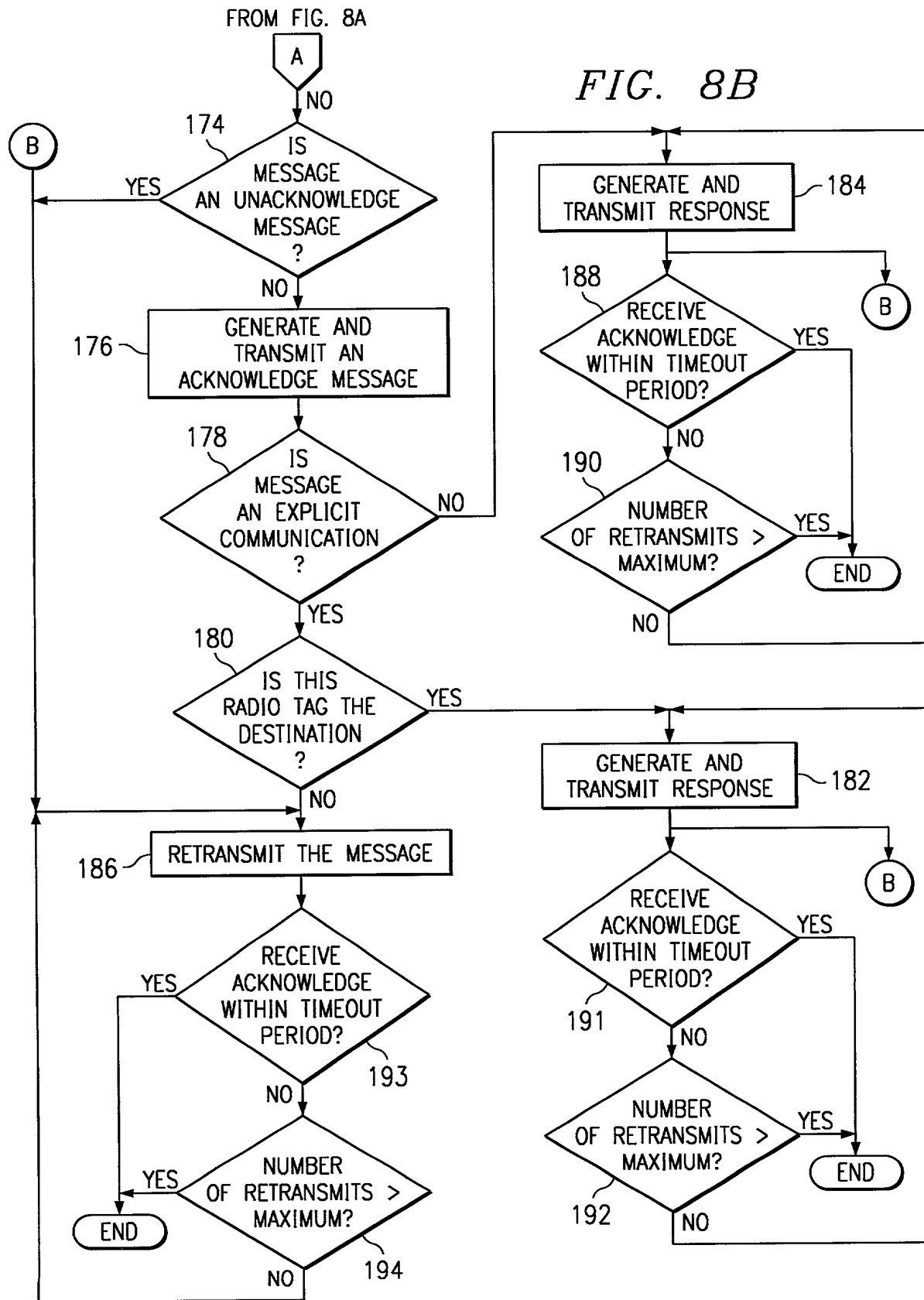




FIG. 9

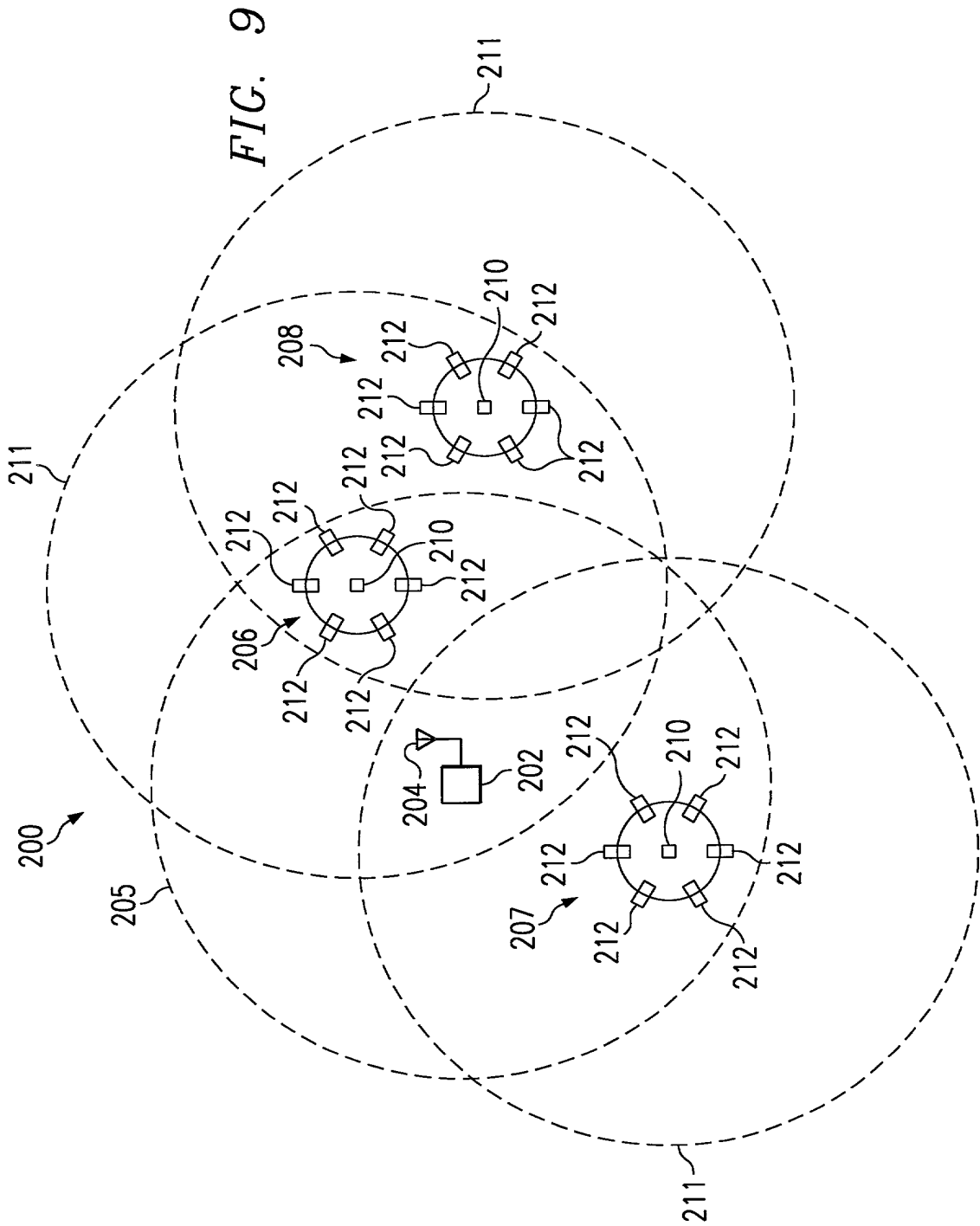


FIG. 11

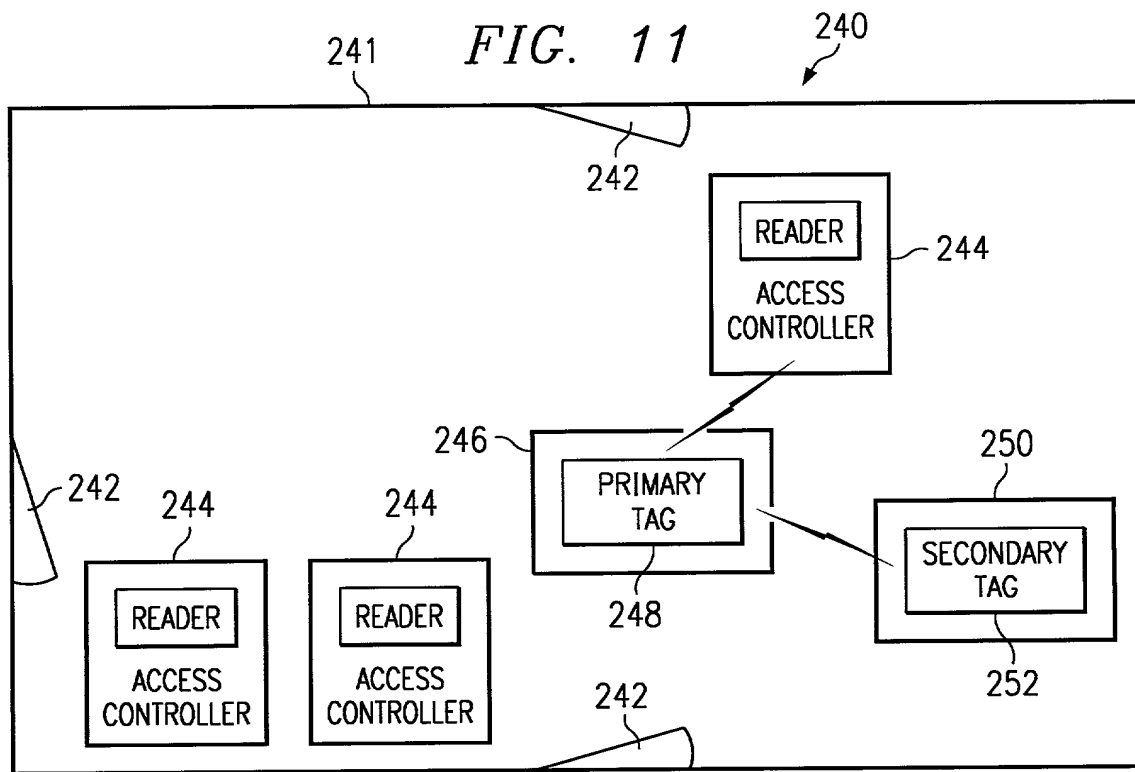
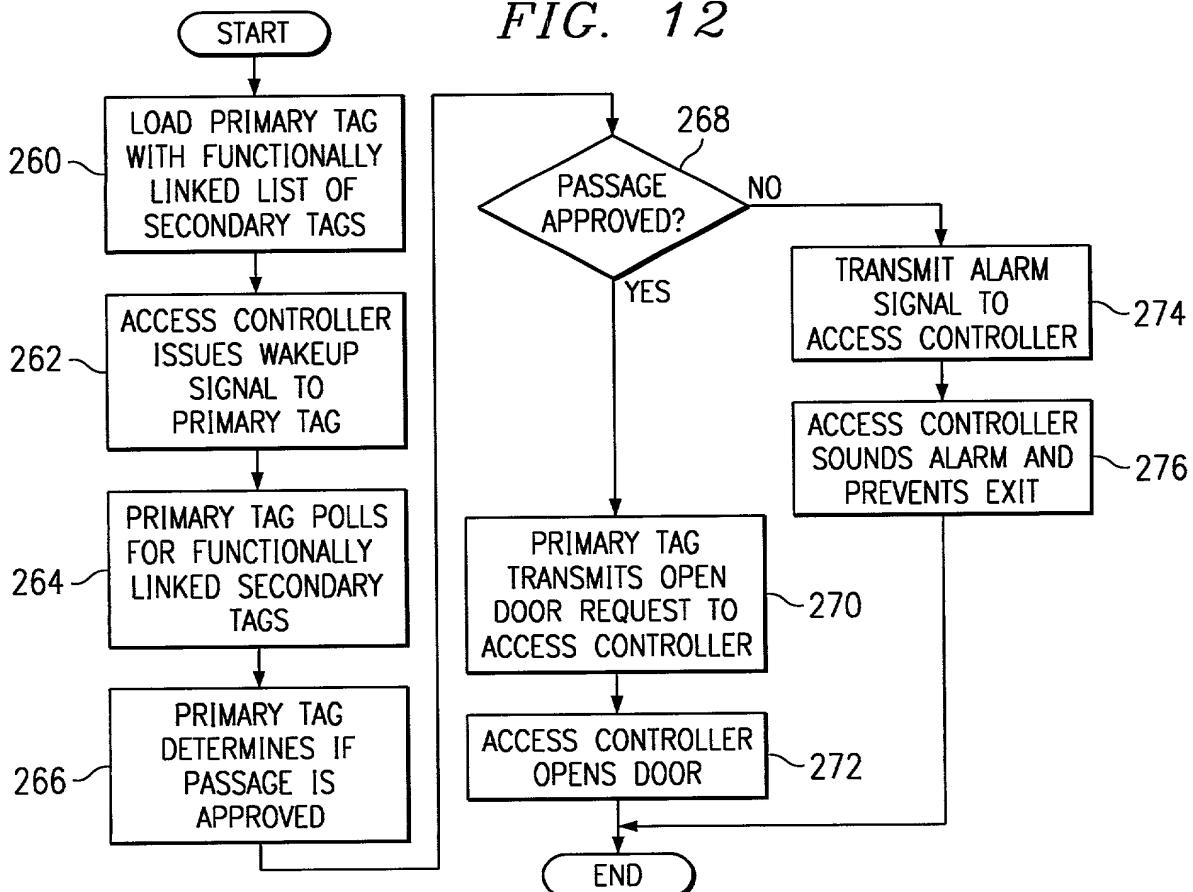
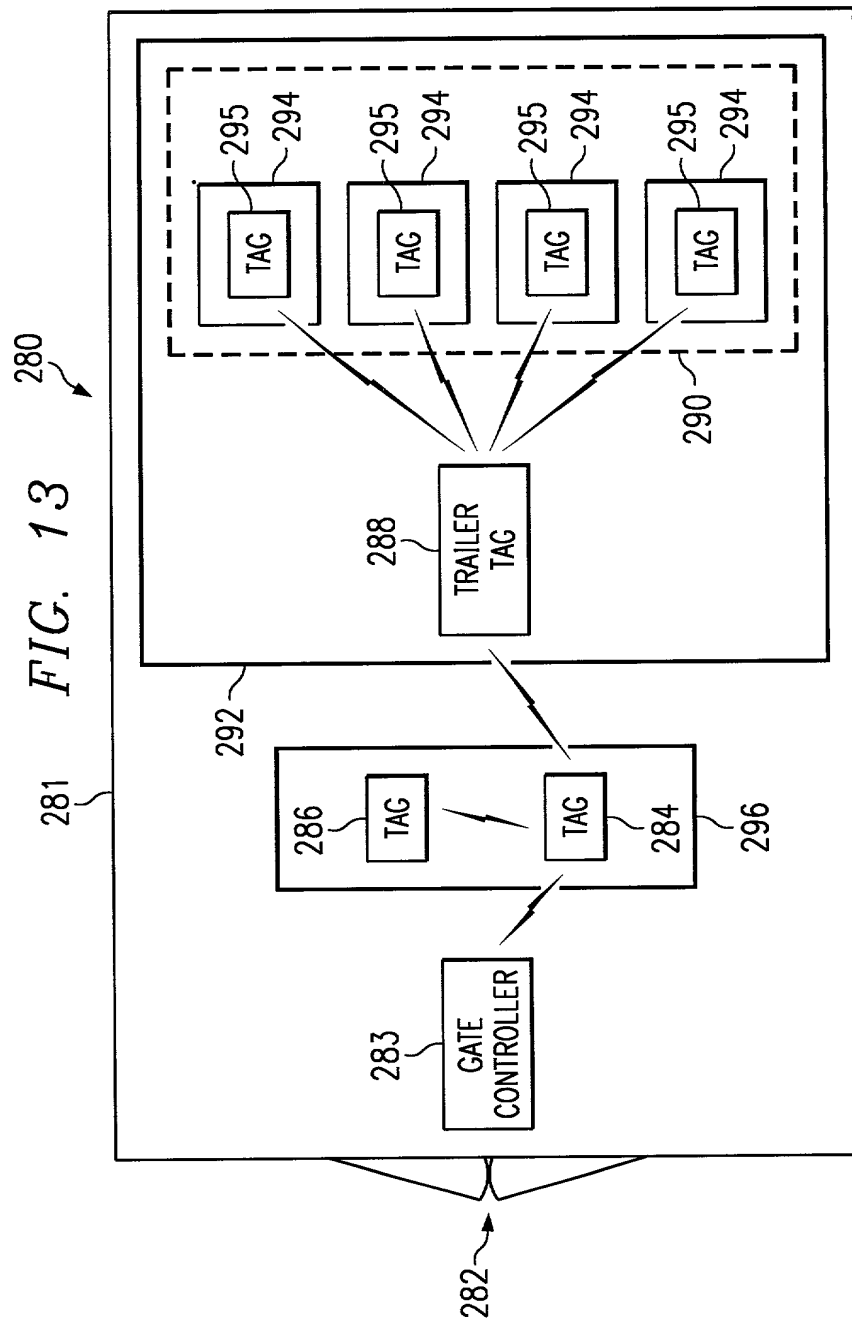


FIG. 12





DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I declare that:

My residence, post office address and citizenship are as stated below next to my name;  
that I believe I am the original, first and sole inventor of the subject matter which is claimed and  
for which a patent is sought on the invention or design entitled RADIO FREQUENCY  
IDENTIFICATION SYSTEM AND METHOD, the specification of which (check one):

  X   is attached hereto; or

           was filed on        as Application Serial No.        and was  
amended on                    (if applicable);

that I have reviewed and understand the contents of the above-identified specification, including  
the claims, as amended by any amendment referred to above; and that I acknowledge the duty to  
disclose to the U.S. Patent and Trademark Office all information known to me to be material to  
patentability as defined in 37 C.F.R. § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119 of any foreign  
application(s) for patent or inventor's certificate listed below and have also identified below any  
foreign application(s) for patent or inventor's certificate having a filing date before that of the  
application on which priority is claimed:

<u>Number</u>	<u>Country</u>	<u>Date Filed</u>	<u>Priority Claimed</u> (Yes) (No)
None			

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s) listed  
below and, insofar as the subject matter of each of the claims of this application is not disclosed  
in the prior United States application(s) in the manner provided by the first paragraph of  
35 U.S.C. § 112, I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all  
information known to me to be material to patentability as defined in 37 C.F.R. § 1.56 which  
became available between the filing date of the prior application(s) and the national or PCT  
international filing date of this application:

<u>Application</u> <u>Serial Number</u>	<u>Date Filed</u>	<u>Status</u>
None		

I hereby appoint:

Jerry W. Mills	Reg. No. 23,005
Robert M. Chiaviello, Jr.	Reg. No. 32,461
Ann C. Livingston	Reg. No. 32,479
Thomas R. Felger	Reg. No. 28,842
Charles S. Fish	Reg. No. 35,870
Wei Wei Jeang	Reg. No. 33,305
Kevin J. Meek	Reg. No. 33,738
T. Murray Smith	Reg. No. 30,222
Barton E. Showalter	Reg. No. 38,302
David G. Wille	Reg. No. 38,363
Bradley P. Williams	Reg. No. 40,227
Terry J. Stalford	Reg. No. 39,522
Christopher W. Kennerly	Reg. No. 40,675
Harold E. Meier	Reg. No. 22,428
Alexander B. Ching	Reg. No. 41,669
Douglas M. Kubehl	Reg. No. 41,915
Samir A. Bhavsar	Reg. No. 41,617
Thomas R. Nesbitt, Jr.	Reg. No. 22,075
James J. Maune	Reg. No. 26,946
Roger J. Fulghum	Reg. No. 39,678
Rodger L. Tate	Reg. No. 27,399
Scott F. Partridge	Reg. No. 28,142
James B. Arpin	Reg. No. 33,470
James Remenick	Reg. No. 36,902
Jay B. Johnson	Reg. No. 38,193
Robert W. Holland	Reg. No. 40,020
Floyd B. Chapman	Reg. No. 40,555
Randall W. Mishler	Reg. No. 42,006
Robert A. King	Reg. No. 42,738
James L. Baudino	Reg. No. 43,486
Scott T. Morris	Reg. No. 43,818
Tara D. Knapp	Reg. No. 43,723
William R. Borchers	Reg. No. P44,549
Robin A. Brooks	Reg. No. P44,563
Darren W. Collins	Reg. No. P44,625
Brian W. Oaks	Reg. No. P44,981

Luke K. Pedersen  
Matthew B. Talpis

Reg. No. P45,003  
Reg. No. P45,152

all of the firm of Baker & Botts, L.L.P., my attorneys with full power of substitution and revocation, to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith, and to file and prosecute any international patent applications filed thereon before any international authorities.

Send Correspondence To:

Baker & Botts, L.L.P.  
2001 Ross Avenue  
Dallas, Texas 75201-2980

Direct Telephone Calls To:

Terry J. Stalford  
at (214) 953-6477  
Atty. Docket No. 065446.0114

I declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Full name of the sole inventor

Wayne E. Steeves

Inventor's signature

Wayne E. Steeves

Date

7/9/99

Residence (City, County, State)

Plano, Collin County, Texas

Citizenship

United States of America

Post Office Address

7213 Springfield Drive  
Plano, Texas 75025